Best Available Copy

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization International Bureau



(43) International Publication Date 5 June 2003 (05.06.2003)

PCT

(10) International Publication Number WO 03/046542 A2

- (51) International Patent Classification7: G01N 27/447, B01L 3/00, B81B 1/00
- (21) International Application Number: PCT/GB02/05339
- (22) International Filing Date:

27 November 2002 (27.11.2002)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

0128350.6

27 November 2001 (27.11.2001)

- (71) Applicant (for all designated States except US): LAB901 LTD [GB/GB]; Unit 53, Imex Business Centre, Dryden Road, Bilston Glen, Loanhead, Midlothian EH20 9LZ (GB).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): POLWART, Stuart [GB/GB]; "Braeview", Banknock, Bonnybridge, Stirlingshire FK4 1UE (GB). FEARNLEY, Joel [GB/GB]; "Caimton", Carlops Road, West Linton, Peeblesshire EH46 7DS (GB). ROY, Douglas [GB/GB]; 17 Bath Street, Portobello, Edinburgh EH15 1EZ (GB). GHAZAL, Peter [GB/GB]; "Cademuir", 10 Gordon Terrace, Edinburgh EH16 5QW (GB).

- Agent: KENNEDYS PATENT AGENCY LIMITED; Floor 5, Queens House, 29 St. Vincent Place, Glasgow G1 2DT (GB).
- (81) Designated States (national): AE, AG, AL, AM, AT (utility model), AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ (utility model), DE (utility model), DK (utility model), DM, DZ, EC, EE (utility model), ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK (utility model), SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

without international search report and to be republished upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: APPARATUS AND METHODS FOR MICROFLUIDIC APPLICATIONS

(57) Abstract: Non-rigid tape apparatus and fabrication methods for microfluidic processing applications such as gel electrophoresis are provided, where microfluidic processing is performed on selected areas. Parts of the tape are formed by high pressure plastic film forming. Membranes and other structures are self sealing during and after penetration by pipettes and electrical probes. Rigid exoskeleton elements protect the non-rigid parts during processing and facilitate transport of the tape.

Apparatus and Methods for Microfluidic Applications 1 2 This invention relates to fabrication and processing 3 technology for microfluidic applications in chemical and biological processing and analysis, in particular fabrication and application of non-rigid apparatuses 6 optionally in the form of a tape. 7 8 In the field known as "lab-on-a-chip", electronic, 9 microfluidic and bio processes are combined at chip scale 10 to bring dramatic productivity and cost benefits to 11 fields as diverse as high throughput screening, bio-12 molecular assays and point of care diagnostics. 13 14 Fabrication technologies are known that have been 15 developed in the microelectronics industry and then 16 applied to biotechnology and biomedical industries. 17 However, compared to electronic based devices, 18 biotechnology devices are much more diverse in order to 19 enable the manipulation of a large variety of bio 20 materials, fluids and chemicals. Improvements in 21 performance, throughput and cost have been achieved by 22 reducing the size and volume in miniaturised biosystems. 23

1 . 2 These "Lab-on-a-chip" solutions have increased the amount of functionality per apparatus by miniaturisation. The 3 problem with increased miniaturisation is the complexity 4 of smaller scale processing and the large cost of 5 6 equipment for microfabrication. Furthermore, conventional lithographic and etching processes adopted 7 from the microelectronics industry require rigid 9 apparatuses. 10 Glass apparatuses for microfluidic applications are 11 12 known, such as the LabCHIP from Caliper Technologies Corp 13 (Mountain View, CA), US Patent 6,274,089. The glass apparatus is attached to a plastic moulded cartridge 14 which incorporates wells for loading test samples, 15 16 reagents and gel. 17 18 Rigid plastic apparatuses are known, such as the LabCard 19 from Aclara Biosciences Inc (Mountain View, CA), US 20 Patent 6,103,199. A tooling process involving patterning and electroplating is used to create embossed 21 22 microchannels on the card surface. 23 "Lab-on-a-CD" devices such as from Gamera and Gyros use 24 centrifugal force of a rotating disk as the microfluidic 25 26 pumping mechanism, e.g., Gamera Bioscience Corporation 27 (Medford, MA), US Patent 6,063,589. 28 The above are all discrete devices which require further 29 handling steps for continuous operation. They are also 30 inefficient for single test operation. 31 Silicon apparatuses are known, such as the Nanogen chip, 32

which is a microfluidic microarray device, where the

WO 03/046542 PCT/GB02/05339

```
microarray is selectively doped with biological or
1
   chemical probes which can be polarised electrically to
2
   attract or repel molecules from the sample material under
3
   test.
4
5
6
   For example, US Patent 5,858,195 to Lockheed Martin
7
   Energy Research Corporation (Oak Ridge, TN) describes a
   microchip laboratory system and method to provide fluid
9
   manipulations. The microchip is fabricated using
10
    standard photolithographic procedures and etching,
11
    incorporating an apparatus and rigid cover plate joined
12
    using die bonding. Capillary electrophoresis and
13
    electrochromatography are performed in channels formed in
14
    the apparatus. Analytes are loaded into a four-way
15
    intersection of channels by electrokinetically pumping
16
    the analyte through the intersection.
17
18
    These approaches require time consuming additional steps
19
    of picking and placing discrete apparatuses which
20
    increases the overall processing cycle time in
21
    microfluidic applications.
22
23
     "MicroTape" - A 384 Well Ultra High Throughput Screening
24
     System" Journal of the Association for Laboratory
25
     Automation, May 1999: Volume 4, Number 2, p. 31, Astle,
26
     T.W., teaches of a tape device designed for storage of
 27
     liquid compounds in smaller volumes (typically 10 ul)
 28
     than the industry standard 96 or 384 well micro-titer
 29
     plate (MTP). Tape storage is in a pattern identical to a
 30
     384 well MTP. In effect, MicroTape™ is an alternative
 31
     passive storage medium to the micro-titer plate.
 32
 33
```

- 1 The primary features of MicroTape™ are:
- 2 1) bulk compounds typically stored in 96 or 384 well
- 3 micro-titer plates can be transferred into a smaller
- 4 volume storage medium, i.e. the $MicroTape^{m}$, and then
- 5 stored within the medium for future use at low
- 6 temperature. When this array of compounds is required for
- 7 test, only one section of tape (i.e. a 384 well section)
- $\ensuremath{\mathfrak{g}}$ need be retrieved and defrosted, rather than the whole of
- 9 the bulk compound medium.
- 10 2) the MicroTape™ incorporates a separate sealing
- 11 membrane to protect the compound during storage. This
- 12 membrane is capable of being de-sealed and re-sealed.
- 13 3) use of MicroTape™ for Polymerase Chain Reaction (PCR)
- 14 processing. The concept takes a reel/roll of MicroTape™
- 15 and uses alternate immersion in hot and cold water tanks
- 16 to perform thermal cycling for the PCR process.

18 The limitations of this approach are:

19

- 20 It's well capacity is 10ul which is much larger scale
- 21 than lab-on-a-chip.
- 22 It is not patterned microfluidic channels.
- 23 It is not analytical, i.e. does not incorporate gels or
- 24 analytes through which molecular separation or
- 25 purification can be accomplished.
- 26 It is not electrically active, i.e. incorporating
- 27 electrical elements or interfacing with electrical
- elements i.e. it is simply a carrier.
- 29 The PCR processing is performed on the whole reel
- 30 rather than on selectable areas or segments of the
- 31 tape.

WO 03/046542 PCT/GB02/05339

5

In the contemporary art of gel electrophoresis, including 1 the emerging field of miniaturised systems, a common 2 means of detection is to capture an image of these layers using electro-optical means. A convenient method is to use a 2 dimensional CCD (Charged Coupled Device) detector array (an area array) to capture the appearance of the permeation layer area in a single "snapshot" image. 7 Another convenient method is to use a 1 dimensional CCD array (a line array) and move it relative to the permeation layer such that the full image is built up 10 from many adjacent line images. 11 12 It would be advantageous to provide an apparatus for 13 microfluidic applications that allowed an increased area 14 for microfluidic processing, without requiring an 15 increase in miniaturisation and the associated complexity 16 of processing. 17 18 It would be further advantageous to provide an apparatus 19 for microfluidic applications that facilitated loading 20 and transport of analytes and reagents both during and 21. after apparatus fabrication. 22 23 It would be further advantageous to provide an apparatus 24 that allowed continuous processing of a moving apparatus. 25 26 It would be further advantageous to provide an apparatus 27 that allowed a variable area on one apparatus, while 28 using a fixed size of apparatus handling mechanism. 29 30

It would further be advantageous to integrate information storage and management systems within or on the apparatus 2 3 for use with simple detection methods. 5 It is an object of at least one aspect of the present invention to provide an apparatus for microfluidic 6 7 applications. 8 9 It is a further object of at least one aspect of the present invention to allow an increased area for 10 microfluidic processing and novel dynamic processing 11 12 steps both within and of the apparatus, while using 13 simple fabrication processes and apparatus handling 14 techniques. 15 In this document, a probe is defined as including 16 17 mechanical probes, electrical probes and pipettes for 18 fluidic manipulation. 19 In this document, indexing patterns are defined as 20 21 including patterns for facilitation mechanical movement, detection of position, detection of movement, and display 22 23 and recording of information. 24 25 In this document, mass transport is defined as transport 26 of mass relative to the apparatus. 27 According to a first aspect of the present invention, 28 there is provided an apparatus for microfluidic 29 processing applications, wherein said microfluidic 30 processing is performed on a selected area of a plurality 31 of areas each individually selectable on said apparatus, 32

characterised in that the apparatus is non-rigid.

WO 03/046542 PCT/GB02/05339

	·
1	According to a second aspect of the present invention,
2	there is provided an apparatus for mass transport
3	microfluidic processing applications, characterised in
4	that the apparatus is non-rigid.
5	that the apparatus is non right
6	According to a third aspect of the present invention,
7	there is provided an apparatus for microfluidic
8	processing applications, characterised in that the
9	apparatus comprises at least one rigid member and at
10	least one non-rigid member.
11	least one non-ligit member
12	Preferably the apparatus comprises at least two non-rigid
13	members.
14	Member 3.
15 16	Preferably said non-rigid member is a tape.
17	riciciant, said
18	Preferably there are a plurality of rigid members each
19	associated with one of a plurality of areas each
20	individually selectable on said apparatus.
21	
22	Preferably said rigid member comprises access ports.
23	
24	According to a fourth aspect of the present invention,
25	there is provided a method of fabrication of an apparatus
26	for microfluidic processing applications, comprising the
27	step of attaching at least one rigid member to at least
28	one non-rigid member.
29	
30	
31	the step of forming at least one non-rigid member.
32	2

- 1 Preferably said step of forming said at least one non-
- 2 rigid member comprises the step of high pressure plastic
- 3 film forming with said high pressure acting on said
- 4 apparatus.

- 6 Alternatively said step of high pressure plastic film
- 7 forming is arranged with the high pressure acting on a
- 8 compliant membrane, which is part of a forming tool in
- 9 contact with said apparatus.

10

- 11 Preferably said rigid member has a maximum dimension
- 12 perpendicular to its plane greater than the maximum
- 13 dimension perpendicular to the plane of said at least one
- 14 non-rigid member.

15

- 16 According to a fifth aspect of the present invention,
- 17 there is provided a method of mounting an apparatus for
- 18 microfludic processing applications, comprising the step
- 19 of attaching said apparatus to a non-rigid carrier that
- 20 is in the form of a tape.

21

- 22 Preferably said carrier has a maximum dimension
- 23 perpendicular to its plane greater than the maximum
- 24 dimension perpendicular to the plane of said apparatus.

25

- 26 Preferably said apparatus is attached to said non-rigid
- 27 carrier by snap fitting into apertures in said carrier.

28

- 29 Alternatively said apparatus is attached to said non-
- 30 rigid carrier by ultrasonic welding, heat sealing,
- 31 adhesive, chemical or molecular bonding.

32

33 Preferably said apparatus is a tape.

1 Preferably said apparatus comprises a polymer film. 2 3 Preferably said apparatus comprises processing elements for microfluidic processing. 5 6 Typically said processing elements comprise indents of 7 said apparatus. 8 9 Optionally said processing elements comprise cavities 10 embedded within said apparatus. 11 12 Optionally said processing elements comprise processing 13 materials in intimate contact with the surface of said 14 apparatus. 15 16 Optionally said processing elements comprise processing 17 materials embedded within said apparatus. 18 19 Optionally said processing elements comprise opaque, 20 translucent or coloured materials for providing optical 21 isolation between elements or providing indexing marks. 22 23 Preferably an element of said apparatus is transparent. 24 25 Preferably a member of said apparatus is transparent. 26 27 Preferably said apparatus is penetrable. 28 29 Preferably said apparatus is self sealing during 30 penetration. 31 32

- More preferably said apparatus is self sealing after 2 penetration. 3 Preferably said apparatus further comprises an 4 impermeable membrane. 5 6 Preferably said impermeable membrane is affixed in 7 intimate contact with parts of the surface of said 8 9 apparatus. 10 Alternatively said impermeable membrane is arranged as 11 discrete areas of impermeable membrane in intimate 12 contact with parts of the surface of said apparatus. 13 14 Preferably said impermeable membrane is penetrable. 15 16 17 Preferably said impermeable membrane is self sealing 18 during penetration. 19 More preferably said impermeable membrane is self sealing 20 21 after penetration. 22 Optionally said impermeable membrane is re-sealed by a 23 24 capping element after penetration. 25 Preferably said impermeable membrane is supported by 26 27 support structures. 28 Preferably said apparatus further comprises a non-rigid 29 30 member. 31 32 Preferably said non-rigid member is affixed in intimate
- 33 contact with parts of the surface of said apparatus.

1 Alternatively said non-rigid member is arranged as 2 discrete areas of non-rigid member in intimate contact 3 with parts of the surface of said apparatus. Preferably said non-rigid member is penetrable. 6 7 Preferably said non-rigid member is self sealing during 8 penetration. 10 More preferably said non-rigid member is self sealing 11 after penetration. 12 13 Optionally said non-rigid member is re-sealed by a 14 capping element after penetration. 15 16 Preferably said non-rigid member is supported by support 17 structures. 18 19 According to a sixth aspect of the present invention, 20 there is provided a method of fabrication of an apparatus 21 for mass transport microfluidic processing applications 22 comprising the step of forming an apparatus that is non-23 24 rigid. 25 According to a seventh aspect of the present invention, 26 there is provided a method of fabrication of an apparatus 27 for mass transport microfluidic processing applications 28 comprising the step of fabricating a tape. 29 30 Preferably said step of forming said apparatus comprises 31 the step of high pressure plastic film forming with said 32 high pressure acting on said apparatus. 33.

1 2 Alternatively said step of high pressure plastic film 3 forming is arranged with the high pressure acting on a compliant membrane, which is part of the forming tool in contact with said apparatus. 5 6 7 Optionally said step of fabricating said apparatus 8 further comprises the step of preloading processing 9 materials onto said apparatus before fabrication. 10 Optionally said step of fabricating said apparatus 11 further comprises the step of loading processing 12 materials onto said apparatus during fabrication. 13 14 15 Typically said step of preloading or loading during fabrication of said apparatus comprises the step of 16 17 depositing processing materials onto a carrier. 18 Typically said step of preloading or loading during 19 20 fabrication of said apparatus comprises the step of depositing processing material onto a non-rigid member. 21 22 23 Preferably said deposited processing material comprises permeation layers. 24 25 26 Alternatively said deposited processing material comprises conductive material. 27 28 Alternatively said deposited processing material 29 30 comprises chemically or biologically active material. 31 Alternatively said deposited processing material 32

comprises marks for identity purposes.

1 Alternatively said deposited processing material 2 comprises magnetisable material. 3 Preferably said step of depositing comprises printing. 5 6 Alternatively said step of preloading or loading during 7 fabrication of said apparatus is performed by a preloading or loading process selected from a list of 9 processes comprising: deposition and etching, injection 10 into a cavity and injection into an indentation. 11 12 Preferably said method of fabrication of said apparatus 1.3 further comprises the steps of depositing patterns on an 14 apparatus and forming said apparatus, wherein the 15 localised formation of said processing elements is 16 responsive to the distortion by said forming of said 17 deposited pattern. 18 19 Preferably said method of fabrication of said apparatus 20 further comprises the steps of depositing patterns on an 21 apparatus and localised formation of said apparatus is 22 responsive to the topography of said deposited pattern, 23 resulting in the formation of said processing elements. 24 25 Preferably said step of depositing comprises pre-26 printing. 27 28 According to an eighth aspect of the present invention, 29 there is provided a method of fabrication of an apparatus 30 for mass transport microfluidic processing applications, 31 comprising the step of including an impermeable membrane 32 as part of said apparatus. 33

1 2 Preferably said step of including an impermeable membrane 3 comprises the step of affixing an impermeable membrane to a substrate. 5 6 Optionally, said step of including an impermeable 7 membrane comprises the step of depositing, overlaying or 8 affixing discrete areas of impermeable membrane in 9 intimate contact with parts of the surface of said 10 apparatus. 11 12 Optionally, said step of including an impermeable membrane comprises the step of depositing, overlaying or 13 14 affixing an impermeable membrane on said apparatus and 15 selectively removing areas of said impermeable membrane. 16 17 Optionally, said selected removal of said impermeable membrane is performed by the step of cropping. 18 19 20 According to a ninth aspect of the present invention, 21 there is provided a method of fabrication of an apparatus 22 for mass transport microfluidic processing applications, 23 comprising the step of including a non-rigid member as 24 part of said apparatus. 25 26 Preferably said step of including a non-rigid member 27 comprises the step of affixing a non-rigid member to a 28 substrate. 29 30 Optionally, said step of including a non-rigid member 31 comprises the step of depositing, overlaying or affixing 32 discrete areas of non-rigid member in intimate contact

with parts of the surface of said apparatus.

1 Optionally, said step of including a non-rigid member 2 comprises the step of depositing, overlaying or affixing 3 a non-rigid member on said apparatus and selectively removing areas of said non-rigid member. 5 6 Optionally, said selected removal of said non-rigid 7 member is performed by the step of cropping. 8 9 According to a tenth aspect of the present invention, 10 there is provided a method of microfluidic processing, 11 comprising the steps of selecting an area of a plurality 12 of areas of an apparatus and performing microfluidic 13 processing at said selected area, characterised in that 14 said apparatus is non-rigid. 15 16 Optionally said step of performing microfluidic 17 processing comprises contacting at least one conducting 18 element that connects the exterior of said apparatus to the interior of said apparatus. 20 21 Preferably said method further comprises the step of 22 providing an electrical potential to at least one 23 conducting element. 24 25 Preferably said method further comprises the step of 26 enabling an electrical current to pass through said least 27 one conducting element. 28 29 Preferably said apparatus is a tape. 30 31 Preferably said microfluidic processing is mass transport 32 microfluidic processing. 33

1 2 Preferably said microfluidic processing is responsive to the deformation of said apparatus. 5 Preferably said deformation comprises deformation by a step selected from a list of steps comprising: bending, 6 flexing, folding, twisting, conforming to a rigid 7 surface, mechanical deformation, deformation by applying 8 a sound pressure, deformation by applying a liquid pressure, and deformation by applying a gas pressure. 10 11 Typically said gas pressure is a negative pressure. 12 13 Optionally said deformation may further comprise the step 14 of bringing part of said apparatus back into contact with 15 16 another part of itself. 17 Alternatively, said step of deformation further comprises 18 19 the step of bringing a part of said apparatus into contact with another apparatus. 20 21 Optionally said deformation of said apparatus comprises 22 23 the step of moving part of said apparatus into a position for processing of said part of said apparatus. 24 25 Typically said position for processing is a position with 26 said apparatus in contact with a processing tool. 27 28 Preferably said microfluidic processing is responsive to 29 said deformation of said apparatus, said microfluidic 30 processing being selected from a list comprising pumping, 31 filling, pouring, pressurising, mixing, dispensing, 32

aspirating, separating, combining, heating and cooling.

WO 03/046542 PCT/GB02/05339

17

1 According to an eleventh aspect of the present invention, 2 there is provided a method of processing for microfludic 3 processing applications, characterised in that the processing comprises the step of piercing an impermeable membrane. 7 Preferably said step of piercing an impermeable membrane 8 is performed with at least one probe. 9 10 Optionally said at least one probe comprises at least one 11 pipette. 12 13 More preferably said method of processing further 14 comprises the step of providing an electrical potential 15 to at least one conducting probe that has pierced said 16 membrane. 17 18 Alternatively said step of processing further comprises 19 the step of enabling an electrical current to pass 20 through at least one conducting probe that has pierced 21 22 said membrane. 23 According to a twelfth aspect of the present invention, 24 there is provided a method of processing for microfludic . 25 processing applications, characterised in that the 26 processing comprises the step of piercing an apparatus. 27 28 Preferably said apparatus is self sealing during said 29 step of piercing. 30 31 Preferably said apparatus is self sealing after said step 32 of piercing. 33

1 2 Optionally said apparatus is re-sealed by a capping 3 element after penetration. 4 5 Preferably said step of piercing the apparatus is performed with at least one probe. 7 8 Optionally said at least one probe comprises at least one pipette. 10 More preferably said method of processing further 11 12 comprises the step of providing an electrical potential to at least one conducting probe that has pierced said . 13 . 14 apparatus. 15 Alternatively said step of processing further comprises 16 17 the step of enabling an electrical current to pass through a conducting probe that has pierced said 18 19 apparatus. 20 21 According to a thirteenth aspect of the present 22 invention, there is provided an apparatus for 23 microfluidic processing applications, characterised in 24 that the apparatus is a non-rigid tape comprising a 25 plurality of indexing patterns. 26 27 Preferably said indexing patterns are rigid members. 28 29 Preferably said indexing patterns are repeated. 30 31 Preferably said indexing patterns are arranged to 32 facilitate detection of position.

Typically said indexing patterns are arranged to 1 facilitate detection of position using optical detection. 2 3 According to a fourteenth aspect of the present invention, there is provided a method of transporting a 5 tape apparatus for microfluidic applications comprising the step of moving said apparatus by interaction of a 7 moving object with at least one rigid member attached to said apparatus. 9 10 In order to provide a better understanding of the present 11 invention, an embodiment will now be described by way of 12 example only and with reference to the accompanying 13 figures in which: 14 15 Figure 1 illustrates in schematic form non-rigid 16 apparatuses, showing a section of tape and an enlargement 17 of one area suitable for gel electrophoresis in 18 accordance with the present invention; 19 20 Figure 2 illustrates in schematic form a variety of 21 processing elements in accordance with the invention; 22 23 Figure 3 illustrates processing elements incorporating 24 impermeable membranes comprising homogeneous apparatus 25 26 material; 27 Figure 4 illustrates impermeable processing elements 28 incorporating discrete impermeable membranes and 29 processing elements on hinged tabs; 30

```
Figure 5 illustrates the insertion and removal of a probe
 2
    into a processing element through an impermeable self-
 3
    sealing membrane;
    Figure 6 illustrates a plan view of an apparatus
    incorporating an extended impermeable membrane with a
 6
    variety of support structures;
    Figure 7 illustrates a cross-section of the same
 9
    structures illustrated in Figure 6;
10
11
    Figure 8 illustrates some of the same structures in
12
13
    cross-section as Figure 7, but where the processing
14.
    elements comprise processing materials;
15
    Figure 9 illustrates in schematic form a plan view of a
16
    structure for probing through an impermeable membrane;
17
18
    Figure 10 illustrates an alternative arrangement to that
19
    of Figure 9 where the channel extends into the apparatus;
20
21
22
    Figure 11 illustrates a cross-section of the structure
23
    illustrated in Figure 10;
24
25
    Figure 12 illustrates a tape apparatus with indexing
26
    patterns;
27
28
    Figure 13 illustrates in schematic form a variety of
    cross-sections of indexing patterns;
29
30
    Figure 14 illustrates a flow chart describing the steps
31
    of fabrication of an apparatus;
32
33
```

```
Figures 15 and 16 illustrate arrangements of scanning the
   optical detectors for scanning the apparatus;
2
3
   Figure 17 illustrates plan and elevation views of a
4
   micro-array configuration of the apparatus;
   Figure 18 illustrates in schematic form non-rigid
7
    apparatuses in accordance with the present invention;
9
    Figure 19 illustrates in schematic form the components of
10
    a planned fabrication scheme of one embodiment;
11
12
    Figure 20 illustrates in schematic form a compact
13
    fabrication option;
14
15
    Figure 21 illustrates in schematic form an operating mode
16
    using a vacuum suction onto a scanner or a
17
    heating/cooling plate;
18
19
    Figure 22 illustrates in schematic form reservoir
20
    fabrication showing the option of sample loading through
21
    penetration of a cover seal;
22
23
    Figure 23 illustrates in schematic form reservoir
24
     fabrication showing the option of electrical probe
25
     penetration of a cover seal;
26
27
     Figure 24 illustrates in schematic form an alternative
28
     electrical probe option;
29
 30
     Figure 25 illustrates in schematic form a supporting
 31
     layer of one segment of a tape after preparatory
 32
     printing;
 33
```

1 2 Figure 26 illustrates in schematic form a formed pattern 3 layer after forming; 4 5 Figure 27 illustrates in schematic form a formed pattern 6 layer after a blanking operation; 7 8 Figure 28 illustrates in schematic form a formed pattern 9 layer assembled to the supporting layer; 10 11 Figure 29 illustrates in schematic form an exoskeleton; 12 13 Figure 30 illustrates in schematic form an exoskeleton 14 affixed to the supporting/patterned layer; 15 16 Figure 31 illustrates in schematic form a section 17 (vertical scale exaggerated for clarity) and plan view 18 through one tape segment and disposition of sealing 19 .plugs; 20 21 Figure 32 illustrates in schematic form loading of 22 electrolyte during manufacture; 23 Figure 33 illustrates in schematic form loading of 24 25 analyte during manufacture; and 26 Figure 34 illustrates in schematic form loading of a test 27 28 sample at the point of use. 29 30 Figure 35 illustrates in a flowchart of automated 31 processing using the fabricated tape. 32

PCT/GB02/05339 WO 03/046542

23

The invention is a non-rigid apparatus for microfluidic 1 processing applications, which may be in the form of a 2 tape. The use of a non-rigid apparatus allows novel 3 dynamic processing methods. The incorporation of re-4 sealable impermeable layers allows further novel dynamic 5 processing steps. 6 7 Figure 1a shows a typical section of tape 1 with an array 8 of microfluidic processing areas or processing segments 2 9 in accordance with a preferred embodiment of the present 10 invention. Adjacent test segments are spaced to suit the 11 sample supply vessel. For example, where samples are 12 delivered for test in a 384 well microtiter plate format, 13 the tape segments will be supplied on a 4.5mm pitch, P. 14 The tape is processed in a vertical plane with the sample 15 loading ports uppermost. The tape width, W, is typically 16 25mm but is configurable in a range of 1mm to 100mm. 17 18 Figure 1b shows an enlargement of a single processing 19 segment 2, the operation of which follows well-20 established principles of electrophoresis. A DNA test 21 sample is assumed. 22 23 The apparatus includes a supporting layer 251, a formed 24 pattern layer 265 with a machine readable index mark 254. 25 The pattern layer has formed cavities 266 and a 26 connecting channel 267 filled with gel. The exoskeleton 27 2915 supports plugs 3124 that are used for re-sealable 28 access to the cavities. 29 30 A DC voltage in the range 5 to 500 Volts (typically 31 100V/cm has been found to be suitable) will be applied 32 across negative terminal 252 and positive terminal 253.

- 1 This will cause the negatively charged DNA sample 3430 to
- 2 migrate into the gel column 267 and its constituent
- 3 molecules will then separate into bands in accordance
- 4 with their molecular weight. An image of the band pattern
- 5 will be captured by a commercial CCD camera and the image
- 6 processed and presented to the user on a computer screen.

- 8 The electrical terminal pads 252 and 253 are conveniently
- 9 presented for perpendicular access by external contact
- 10 pins whose engagement will be controlled by the tape
- 11 processing instrument. The exoskeleton 2915 may be
- 12 conveniently employed as the tape transport means, and be
- 13 driven by, for example, a toothed belt or a drive pinion
- 14 having the same tooth pitch as the test segments on the
- 15 tape.

16

- 17 The CCD image capture system can also conveniently
- 18 capture the test segment ID mark, thus avoiding the need
- 19 for a separate device such as a bar code reader.

20

- 21 Figure 2a illustrates a part of an apparatus 20 in cross-
- 22 section. The apparatus contains a variety of processing
- 23 elements which are an indent 21, a void or cavity in the
- 24 apparatus 22 processing materials on the surface of the
- 25 apparatus 23, processing materials embedded within the
- 26 apparatus 24, and processing materials in an indent on
- 27 the surface of the apparatus 25.

- 29 Figure 2b illustrates part of an apparatus in cross-
- 30 section with processing materials partially filling the
- 31 height of a cavity in the apparatus 26 and processing
- 32 material 27 embedded in a channel 28 within the
- 33 apparatus.

1 The processing elements may comprise geometries which 2 have sloping, curved or stepped surfaces. The processing 3 materials may be conformal layers in intimate contact with surfaces of the apparatus. The processing elements 5 may be opaque, translucent or coloured in order to provide optical isolation between elements or, 7 alternatively, to provide indexing marks for allowing detection of movement and position of the apparatus. 9 10 Several of the processing elements shown in Figures 2a 11 and 2b may be linked together, for example by cavities 12 or indented troughs, which are themselves processing 13 elements such that the linked elements act as a single 14 processing group. 15 16 Figure 2c illustrates a plan view 210 of processing 17 element groups 211 on part of an apparatus 212. 18 2d illustrates a cross section of one of the processing 19 element groups 211 shown in figure 2c. The formed 20 plastic substrate 212 has a plastic membrane film 213 21 attached 214. The membrane is typically 0.1mm thick, but 22 could be as thin as 0.02mm. An indented trough 215 is 23 provided for processing materials such as materials based 24 on Agarose or polyacrylamide gel. A channel 216 is 25 provided for a plug that can be removed by, for example, 26 laser ablation in order to allow processing material 27 transport between the indented trough 215 and another 28 processing element, indent 217. The substrate indents 29 have pips 218 that are shaped to guide a probe such as a 30 pipette to an area of the lower surface for penetration 31 into the processing elements, for example indent 217. 32

The substrate may be self-sealing during and after such L 2 penetration. 3 The processing materials can be gases, liquids, solids or 4 semi-solids, e.g. biomolecular samples, fragments of 5 DNA, biochemical polymers, chemical polymers, 6 biomolecular modifiers, catalysts, antibodies, 7 polypeptide molecules, protein molecules, biological organisms such as cells and viruses and permeation layers. The permeation layers may be solid, semi-solid, 10 liquid, viscous, gelatinous or gaseous layers. The 11 12 permeation layers may be biomolecular gates which are activated by electrical probes. 13 The function of the biomolecular gates is defined by their particular depth, 14 15 shape, volume and composition. 16 17 Figure 3 shows a cross-section 30 of an apparatus for microfluidic processing applications. The apparatus 18 contains a processing element 31 that is a cavity in the 19 20 apparatus material. At the top of the cavity the apparatus material is thin, such that there is a membrane 21 32 that is impermeable and acts as an hermetic seal to 22 23 protect the contents of the cavity. 24 The apparatus contains another processing element 33, 25 where the membrane is configured as a flap 34, such that 26 27 the cavity is sealed when the unattached end of the membrane is in contact with the apparatus 35. 28 Figure 3 illustrates another processing element 36 with a

29

30

membrane arranged as a flap 37 and distortion of the 31

apparatus 38 resulting in the opening of the flap at its 32

unattached end 39.

1 Figure 4a illustrates an apparatus 40 that includes the 2 same type of processing elements as shown in Figure 3, but in this case the impermeable membrane is deposited, 5 overlaid or affixed as discrete areas of impermeable membrane in intimate contact with parts of the surface of the apparatus. In the first processing element 41, the impermeable membrane 42 provides a hermetic seal to the cavity 43. 9 10 Another processing element 44 shows the impermeable 11 membrane 45 in intimate contact and attached to the 12 apparatus at the left hand side 46 and configured as a 13 flap in a sealing contact with the right hand side 47 of 14 an indent in the apparatus 48. This flap may be opened 15 by deforming the apparatus in the same way as described 16 as above with reference to processing element 36. 17 18 In another processing element 49, the impermeable 19 membrane 410 is deposited as a plug in an indent 20 resulting in a cavity 411, the membrane again providing 21 an hermetic seal. 22 23 Alternatively, the impermeable membrane is continuous 24 with the tape (i.e. not discrete). This continuous 25 configuration can also embody local flaps in the membrane 26 and still be one continuous membrane. 27 28 Figure 4b illustrates a plan view and Figure 4c 29 illustrates cross-section views of a strip of apparatus 30 413 where a section of the apparatus had been removed 412 31 by punching out. The shape punched out has left several 32 tabs 414 each with an indent 415 for containing

- l processing materials. The tab 414 may be mechanically
- 2 folded along the fold line 417. The fold line may be
- 3 weakened by perforation or indenting. A second indent
- 4 for processing materials 418 is positioned on the
- 5 opposite side of the fold line from the indent 415. When
- 6 the tab is folded over 419, the indent 415 is tipped over
- 7 into contact with the indent 418, allowing mixing,
- 8 pouring or transfer of processing materials between the
- 9 two indents. This pouring may be assisted by the force of
- 10 gravity, capillary action or external pressure.
- 11 Alternative arrangements can be made that tilt through an
- 12 angle of e.g. 30 degrees to cause pouring.

- 14 Figure 5 shows a cavity during a sequence of steps before
- 15 penetration 51, during penetration 52 and after
- 16 penetration 53. The probe 54, which is a pipette, is to
- 17 be inserted into the cavity 55 through the membrane 56.
- 18 When the probe 57 is inserted through the membrane 58,
- 19 the membrane is self-sealing, such that there is a seal
- 20 between the probe and the membrane 58. Processing
- 21 materials 510 are then deposited in the cavity. After
- 22 removal of the probe 511, the impermeable membrane is
- 23 self-sealing and a seal 512 is formed at the exit point
- 24 of the probe. The penetration of the impermeable
- 25 membrane can allow introduction of processing materials
- 26 into cavities in the apparatus or removal of processing
- 27 materials from the apparatus, the penetration of the
- 28 membrane can allow the introduction of measurement tools
- 29 into the apparatus or processing tools into the
- 30 apparatus. When penetration is by a conducting probe,
- 31 voltages can be applied that cause movement of fluids
- 32 through processing materials using an electrokinetic
- 33 method.

PCT/GB02/05339

1 Large areas of membrane would tend to bend on attempted 2 insertion of a probe. Figure 6 shows a plan view of an apparatus 60 with an extended membrane 61 and support structures that provide support for the membrane adjacent to the location where probes are to penetrate the membrane. Figure 7a shows a cross-section 70 of the same 7 structure that is shown in the plan view of Figure 6. Figure 7b shows a cross-section 71 of the same structure 9 that is shown in the plan view of Figure 6, but with a 10 continuous membrane 72 affixed to a substrate. 11 12 Figures 6 and 7 include support structures that are 13 pillars 62, ribs 63 and an annulus 64. The centre of the 14 annulus contains a membrane that may be penetrated by a 15 probe. The annulus allows a "via" hole 65 to be created 16 all the way through the apparatus and through which a 17 wire or conducting probe can be passed so that a magnetic 18 field can be created to interact with the adjacent 19 processing area of the apparatus. 20 21 Another useful structure is a circular indent but still 22 connected to adjacent processing elements and an 23 externally configured loop or coil of wire (or other 24 conducting element) around that circular indent. The 25 electrical/magnetic field created can be used to attract 26 or trap or process the liquid in the circular indent. 27 28 A "U" shaped pillar 66 is shown and a probe that enters 29 in the centre of the "U" at point 67, marked with a plus, 30 may be connected to a probe penetrating the impermeable 31 membrane at the second penetration point 68 by an 32 electrical, liquid or permeation path that is greater in 33

- l length than the direct distance between the two
- 2 penetration points.

- 4 Figure 8 shows a cross-section 80 of similar structures
- 5 to those in Figure 7, except that the cavities in the
- 6 apparatus are filled with processing materials 81.

7

- 8 Figure 9 shows a plan view of an apparatus 90 with a
- 9 membrane that extends from a first penetration point 91
- 10 to a second penetration point 92 via an indented trough
- 11 93. A probe inserted through the impermeable membrane at
- 12 the first penetration point 91 may be connected to a
- 13 probe penetrating the impermeable membrane at the second
- 14 penetration point 92 by an electrical, liquid or
- 15 permeation path that is greater in length than the direct
- 16 distance between the two penetration points.

17

- 18 Figure 10 shows a plan view of an apparatus 100 with two
- 19 membranes, each of which are penetration points 101 and
- 20 102. The dotted lines represent the edges of a buried
- 21 channel 103 in between the two membranes.

22

- 23 Figure 11 shows a cross-section through the line
- 24 connecting the two penetration points of Figure 10 which
- 25 can be seen to be two membranes 101 and 102. The channel
- 26 103 extends into the depth of the apparatus 104. In this
- 27 alternative arrangement the electrical, liquid or
- 28 permeation path between tips of probes that are inserted
- 29 through the penetration points are also greater than the
- 30 direct distance between the two probes.

- 32 Turning Figures 10 and 11 through 90 degrees, illustrates
- 33 side entry (rather than top entry) to the apparatus.

PCT/GB02/05339 WO 03/046542

Then Figure 10 becomes a side view of the tape and Figure 11 is a plan view of the plane of a strip of tape. 2 3 With reference to Figure 12, an apparatus 120 is shown in plan view with a plurality of indexing patterns 121. 5 indexing patterns may be opaque, translucent or coloured 6 materials. The indexing patterns may be surface 7 patterns, such as indents or process materials or raised 8 patterns of apparatus material, for example the 9 exoskeleton (2915 in Figures 1b and 29). Alternatively, 10 the indexing patterns may be embedded within the 11 apparatus or patterns of magnetism in a magnetic film or 12 perforations through the depth of the apparatus. Indexing 13 patterns are arranged to facilitate traction of the 14 apparatus and detection of position using optical, 15 electromagnetic, electrochemical, electrical or other 16 forms of detection. The indexing patterns may also 17 record information related to the apparatus processing 18 elements or the apparatus processing materials on the 19 apparatus or within it processing results, processing 20 status, processing time, processing location or 21 processing identity. An indexing pattern may be a strip 22 of material which functions as a data recording medium, 23 for example magnetic or magneto-optical tape. Such tape 24 may be written to and read by standard methods. 25 26 With reference to Figure 13 that shows in schematic form 27 a variety of cross-sections of indexing patterns, an 28 indexing pattern is shown as an indent 130, a raised 29 feature 131, an embedded feature 132 or a hole 133

31 32 punched through the apparatus.

- 1 With reference to Figure 14a, a flow chart is shown which
- 2 describes the general process steps for the fabrication
- 3 of non-rigid apparatuses for microfluidic processing
- 4 applications, including apparatuses in the form of a tape
- 5 or apparatuses of homogeneous material which may be
- 6 assembled to a tape or discrete microfluidic devices
- 7 which may be assembled to a tape.

- 9 Firstly, raw material preparation is provided, 141, the
- 10 primary material will be a flexible substrate, preferably
- 11 in the form of a continuous tape but other substrates,
- 12 membranes, films, mouldings, skeletal structures or pre-
- 13 assembled microfluidic devices may be part of the
- 14 fabrication "kit".

15

- 16 Patterns can be pre-printed 142, preferably on a flat
- 17 plastic non-rigid substrate. These patterns may be
- 18 conductive elements, chemically or biologically active
- 19 zones, magnetisable zones, or printed marks for identity
- 20 purposes.

- 22 The apparatus, 143, is formed using high pressure thermo-
- 23 forming with the high pressure acting on the apparatus or
- 24 the high pressure acting on a compliant membrane which is
- 25 part of the forming tool that is in contact with the
- 26 apparatus. The high pressure may be delivered by a gas
- 27 or a fluid. During forming, the pre-printed patterns on
- 28 the tape surface may be distorted in response to the
- 29 topography of the formed processing elements. The final
- 30 position of the pre-printed pattern material may be
- 31 predicted by calibration test runs or simulation in order
- 32 to design pre-printed patterns that distort to create
- 33 processing elements that comprise the processing material

PCT/GB02/05339

33

that has been pre-printed. Alternatively, the forming of an apparatus may be performed by stereolithography or 2 selective laser sintering. While forming the apparatus by stereolithography or selective laser sintering, processing elements may be included in the apparatus either by direct patterning or in response to the 6 topography of the pre-printed patterns on the carrier. 7 8 The fabrication of the apparatus can further comprise the 9 step of preloading processing materials 144. 10 processing materials may be preloaded by processes such 11 as printing, film deposition and etching, stereo-12 lithography, injecting into a cavity and also injection 13 into an indentation. Alternatively, the preloading may 14 be achieved by tilting the apparatus with respect to 15 gravity in order to open flaps of impermeable membrane so 16 as to introduce processing materials through the open 17 flaps into underlying structures. Alternatively these 18 flaps may be opened by the distortion of the apparatus, 19 such as conforming it to a rigid roller or corner. 20 21 A cropping operation 145 can be incorporated (optionally 22 before the preloading step) to insert apertures in a 23 substrate or finish a substrate to a defined external 24 profile. 25 26 Apparatus assembly can continue, 146, by attachment or 27 assembly of other layers, for example, a sealing layer or 28 sealing layers, or sealing plugs, or additional 29 supporting layers to improve the robustness of the 30 apparatus, or other pre-assembled devices. The attachment 31 methods may include a mechanical snap-fit, a mechanical 32 interference fit, ultrasonic welding, heat sealing, 33.

WO 03/046542 PCT/GB02/05339

34

- 1 molecular, chemical or adhesive bonding. Typically the
- 2 final layer of apparatus that is affixed results in one
- 3 or more impermeable membranes as part of the apparatus.
- 4 Alternatively, the membranes may be formed by depositing,
- 5 overlaying or affixing discrete areas of impermeable
- 6 membrane in intimate contact with parts of the surface of
- 7 the apparatus. Alternatively the formation of the
- 8 impermeable membrane may be performed by depositing a
- 9 film of impermeable membrane across the apparatus and
- 10 selectively removing areas of the impermeable membrane.
- 11 This selective removal may be performed using
- 12 cropping/blanking or by lithography, such as
- 13 photolithography, for patterning combined with wet or dry
- 14 etching. These membranes are optionally formed of
- 15 homogeneous apparatus material in the case of formation
- 16 using stereo-lithography or selective laser sintering.

17

- 18 The apparatus can incorporate a further loading sequence,
- 19 147, of chemical or biological agents such as solvents,
- 20 electrolytes, gels, stainers, dyes, affinity tags or bio-
- 21 sensors. This loading may be achieved by pipette probe
- 22 through the apparatus membrane or through an access port
- 23 or access ports in the apparatus.

24

- 25 These steps 141 to 147 have many possible permutations
- 26 and Figures 14b, 14c and 14d illustrate by way of
- 27 example, the fabrication sequence of some of the
- 28 alternative embodiments described within this document.

- 30 Figure 14b shows the general fabrication sequence for the
- 31 three layer construction method described by Figure 19
- 32 including the fabrication steps 14191, 14192 and 14193 of

WO 03/046542 PCT/GB02/05339

35

the substrate 191 sealing layer 192 and carrier layer 193 respectively. 2 3 Figure 14c shows the general fabrication sequence for the three layer construction method described by Figure 22, 5 including the fabrication steps 14221, 14222 and 14225 of 6 the substrate 221 sealing layer 222 and carrier layer 225 7 respectively. 8 9 Figure 14d shows the general fabrication sequence for the 10 construction method described by Figure 1b including the 11 fabrication steps 14251, 14265, 142915 and 143124 of the 12 substrate 251 process layer 265, exoskeleton 2915 and 13 sealing caps 3124 respectively. 14 15 In each of Figures 14a to 14d, the material preparation 16 step 141 is a film forming step, except for the 17 exoskeleton and sealing cap material preparation 1411, 18 which is a moulding step. 19 20 With reference to Figure 15, the moving apparatus 150 21 with indexing patterns that are permeation (for 22 separation) indents 151, can provide the scanning 23 function of a scanning optical detector with fixed optics 24 152 and a fixed line scan Charged Coupled Device (CCD) 25 detector 153. 26 27 Additionally, with reference to Figure 16, when this 28 fixed scanning system 161 is configured to suit a chosen 29 width of tape apparatus 162 (e.g. 100mm, shown in plan 30 view, not to scale) or multiple transverse separation 31 layers, then it can also image capture, without 32 modification, any other tape apparatus which is of lesser 33

WO 03/046542

PCT/GB02/05339

36

· 1 width 163 (e.g.50mm or 20mm), thus providing the advantage of a detection system with flexibility in the 2 handling of different widths of substrate. 3 4 5 Additionally, where the substrate is configured to have more than one discrete permeation layer in a transverse 6 line across the substrate, each of these more than one 7 discrete permeation layers can be imaged simultaneously. . 8 9 10 In the emerging field of biological micro-arrays, the processing substrates are typically comprised of a rigid 11 12 transparent material (e.g. a glass slide) and whereby 13 bio-material is deposited locally on a rectangular grid 14 whose pitch may be in the range of 50um to 2mm. The present invention provides the advantage that it is 15 16 equally suitable as a substrate for micro-array 17 fabrication but offers the benefit of having low 18 fabrication cost and a capability for continuous 19 processing due to the flexible nature of the apparatus in 20 its form as a continuous tape. 21 22 With reference to Figure 17, the apparatus is illustrated 23 schematically 170 in plan and side views configured to 24 locate each element of a micro-array 171 in a shallow 25 well or dimple 172, on a tape 173, thereby allowing a 26 reduced risk of cross contamination between adjacent 27 elements. 28 29 The apparatus is thus configured to provide an improved 30 degree of containment for any reaction process which is 31 specified to take place on that micro-array element and that this improved degree of containment can allow 32

37

operations of mixing, stirring or agitation which would not be achievable with planar micro-arrays. . 3 The apparatus is configured such that this shallow well 4 has a thin wall section 174 (e.g. 0.1mm, compared to a 5 glass slide of typically 1 to 3mm) that enables the 6 efficient coupling of a conductive heating element 175 7 (for example a peltier device or similar) to the well for В the purpose of, for example, hybridisation of a DNA 9 sample at a temperature in the range of, for example, 60 10 to 80 degrees centigrade. 11 12 This thin wall section can readily be transparent and 13 that this enables the efficient coupling of an optical 14 system 175 to detect the bio-reaction state of any 15 element on the micro-array. 16 17 The apparatus can also have different regions 18 functionalised for the attachment of chemical or 19 biological moieties such as affinity tags or biological 20 probes. Within a microfluidic channel, there can be 21 micro-zones incorporating reactive groups for highly 22 specific functions, e.g. an affinity tag such as a 23 streptavidin coated zone. 24 25 With reference to Figure 18, an apparatus 10 according to 26 the present invention is shown. The apparatus 11 is non-27 rigid and is shown as being bent, by the apparatus being 28 conformed to the surface of a roller 12. 29 30 The apparatus is non-rigid in that it is pliant, unlike 31 rigid apparatuses known in the prior art that are made of 32 at least one layer of hard plastic or glass or silicon,

38

1 or where the composite apparatus is rigid. On 2 deformation of the apparatus according to the present 3 invention, the apparatus can return to its original shape (i.e. flat) after deformation. The apparatus may have a bend radius approaching zero. 5 6 7 The apparatus is a tape in that it is substantially 8 longer than it is wide in its larger two dimensions. 9 Hence it is a substantially continuous, narrow, flexible 10 strip. The tape 13 may be arranged in a reel-to-reel arrangement between reels or rollers 14 and 15. 11 12 With extreme deformation, the apparatus may be folded and 13 14 remain folded. This may be facilitated by using perforations or indentations to weaken the fold line. 15 Thus the apparatus may be folded into a fanfold 16 17 arrangement 16 for storage, dispensing and processing. 18 19 The tape can also be separated into short discrete sections 17. The separation may be performed by 20 guillotining or tearing across perforations or 21 22 indentations in the tape. 23 A continuous strip of tape 18 may be arranged around 24 25 rollers 19 into a conveyor belt arrangement. A twist in 26 the tape would provide a Moebius strip arrangement. 27 28 The apparatus may be formed from a polymer film, that is 29 a thermoplastic polymer film, thermosettable polymer 30 film, elastomeric polymer film or hybrid compositions of 31 each of these films.

- In another embodiment, the tape comprises three primary
- construction elements as illustrated with reference to
- Figure 19. The tape incorporates a thin polymer substrate 3
- 191 that is formed to create indented wells, channels and 4
- junctions which can be configured to create a wide range 5
- of micro-fluidic geometries. This substrate may 6
- optionally incorporate one or more surface coating layers 7
- on the processing side of the substrate and these 8
- layer(s) may fully cover the substrate surface or be 9
- confined to local areas of the substrate. The substrate 10
- may incorporate liquid or solid chemicals within the well 11
- or channel areas of the substrate. 12

13

- The substrate and its chemical contents may be protected 14
- by the attachment of a cover seal 192 membrane. The 15
- combined substrate and cover seal will be attached to a 16
- carrier layer 193 whose function is to protect the 17
- substrate from mechanical stress or damage during 18
- handling, shipment, storage or end user processing. The 19
- tape may be a one time use consumable item. 20

- The tape assembly employs construction materials, 22
- fabrication techniques and packaging methods that ensure 23
- that the tape will function reliably at its final point 24
- of use. The tape will therefore be unaffected by: 25
- Automated and manual handling processes prior to 26
- shipment packaging (factory); 27
- Automated and manual handling processes at the point of 28
- use (end user); 29
- Shipment transport (protected by secondary packaging); 30
- Transport temperatures of -40C to +70C (up to 24 31
- hours); 32
- Storage temperatures of OC to +40C (up to 12 months); 33

PCT/GB02/05339

```
- Relative humidity in range 10% to 90% (transport and
 1
 2
    storage); and
 3
    - Atmospheric pressure (air cargo).
 5
    The substrate comprises a thin polymer membrane with a
- 6
    thickness of 50um preferred, but 125um for some
    applications. The thickness may be selected to match
 7
    available commercial film grades.
 9
10
    The substrate has:
    - forming radius equal to thickness without stress
11
12
    cracking;
13
    - feature width to depth ratio, typically in range 2:1 to
14
    1:1;
15
    - Uniform (consistent) draw during forming.
16
17
    Thermal assist during (or prior to) forming is desirable.
18
    Forming may be:
      1) high pressure in range 1 bar to 200 bar
19
20
       2) Vacuum
21
       3) high pressure with vacuum assistance
22
23
24
    All of these may benefit from a pre-heating cycle.
25
26
    Desirable features of the substrate include:
    - stable after forming (having no shape memory effects );
27
    - Flexible, non rigid, non brittle;
28
29
    - Abrasion Resistant;
    - Punchable, to create optional holes for mechanical
30
31
    indexing;
    - Penetratable by probe (e.g. for liquid delivery or for
32.
33
    electrical probing);
```

PCT/GB02/05339

WO 03/046542 41

```
- High optical clarity;
1
```

- Adaptable via suitable surface modification to minimise 2
- static charge or to locally influence 3
- hydrophilic/hydrophobic surface characteristics;
- Chemical Resistance to Aqueous solutions 5
- Analyte material loaded in the substrate channels 6
- typically comprised of Agarose or Polyacrylamide,; 7
- Provide bio-compatible surface (e.g. DNA, proteins,
- cells, bacteria etc); 9
- Avoid leeching of metals, anti-oxidants and 10
- 11 stabilisers;
- Capable of receiving a heat sealable cover layer e.g. 12
- polyester/polyethylene cover layer; and 13
- Printable with ink, stroke widths down to 0.1mm. 14

15

- Auxiliary coatings or deposited layers on the substrate 16
- 17 include:
- Local conductive tracking; 18
- Local hydrophobic coatings (e.g. PTFE); 19
- Local hydrophilic coatings (eg titanium oxide); and 20
- Bio-compatible coatings (e.g. parylene). 21

22

- The seal 192 may be a single or composite layer but a 23
- dual composite construction may be beneficial in that the 24
- outer layer can be specified to resist the thermal 25
- affects of the heat sealing tool whereas the inner layer 26
- is able to melt and create a seal without putting the 27
- integrity of the membrane at risk. Properties of the seal 28
- layer include: 29
- Typically in range 10um to 50um; - Seal Thickness: 30
- Chemical Resistance: As per substrate above; 31
- As per substrate above; - Optical: 32

42

It is preferred that the seal be suitable for penetration 2 by a probe (typically 0.5-1mm diameter) e.g. for liquid 3 delivery or for electrical probing. A self healing or re-4 sealable penetration hole is preferred. 5 6 Pre-forming of the seal (schematically as in Figures 22 7 and 23) is optional to enhance rigidity of the sealing 8 layer during penetration and to provide the necessary 9 space within the tape for processing materials. 10 . 11 The carrier layer 193 can comply with EIA-481-B 12 (Electronic Industries Alliance), the standard for 13 "Embossed carrier Taping" for automated component 14 handling in the electronic industries. A preferred 1.5 material is either black or translucent polystyrene, 16 preferred thickness is in the range 100um to 300um. This 17 layer will be formed prior to assembly of the 18 substrate/cover such that the substrate/cover will be 19 contained within a recessed channel in the carrier tape 20 and thereby avoid contact with any other surfaces during 21 manufacture or distribution (e.g. in a reel), or at point 22 of use. 23 24 The primary functions of the carrier layer are a) to 25 provide a mechanically robust carrier for the more 26 fragile substrate/cover layers b) incorporate punched 27 holes which provide a means of transport drive for the 28 tape c) incorporate registration features which align the 29 substrate/cover layer with the punched drive holes d) 30 incorporate apertures which allow the channels in the 31 substrate to be visible from underneath the tape.

- l With reference to Figure 20, which is a section across
- 2 the width of the tape, not to scale, a 50um thick
- 3 microfluidic substrate 201 formed up to 250um deep, is
- 4 contained within the 300um thickness of the carrier 202
- 5 thus affording it protection. The substrate has analyte
- 6 203 and is capped with the seal 204.

7

- 8 With reference to Figure 21, a negative pressure (vacuum)
- 9 is applied to the two ports 210 that distorts the
- 10 substrate onto a tool 211 such as a viewing window of a
- 11 scanner or a heating/cooling plate.

12

- 13 With reference to Figure 22, a sample loading probe 221
- 14 is positioned ready to penetrate a reservoir in the pre-
- 15 formed cover seal 222 (that is dimpled for ease of
- 16 insertion). The substrate contains analyte 223 and the
- 17 reservoir contains electrolyte 224.

18

- 19 With reference to Figure 23, electrokinesis 231 probes
- 20 are shown penetrating the reservoirs.

21

- 22 With reference to Figure 24, probes 241 external to the
- 23 "wet chemistry" zone are shown connecting to conductive
- 24 layers on the substrate that are an anode 242 and a
- 25 cathode 243.

26

- 27 For the preferred embodiment, a single segment of tape
- 28 will be described below, comprising the means of
- 29 processing one discrete test sample of bio-material such
- 30 as DNA.

- 32 Figure 25 shows a supporting layer 251 comprises a thin
- 33 flat optically clear film of either polycarbonate,

44

1 polyester, polystyrene, poly methyl methacrylate, or

- 2 other co-polymers of these materials. This film will
- 3 typically be 125um thick but other thicknesses in the
- 4 range 25um to 1000um may be used. This Layer has a
- 5 pattern of conductive tracks 252 and 253 applied by
- 6 screen printing or laser printing or ink jet printing as
- 7 well as a pattern 254 which can be machine read to
- 8 indicate the identity of that segment.

9

- 10 Figure 26 shows a formed patterned layer 265 comprising a
- 11 thin film of either polycarbonate, polyester,
- 12 polystyrene, polyethylene, polymethyl methacrylate,
- 13 polypropylene or other co-polymers of these materials.
- 14 This film will be typically 50um thick but other
- 15 thicknesses in the range 10um to 200um may be used. This
- 16 material need not be optically transparent and some
- 17 advantage may be gained by having it translucent or
- 18 opaque; translucency offers a means of back-lighting
- 19 scatter (opposite side from the optical supporting layer)
- 20 which may be used for illuminating and capturing an image
- 21 of the tape processes; opaqueness offers the possibility
- 22 of using a reflected front-lighting source.

23

- 24 High pressure thermoforming is preferably used to create
- 25 formed cavities 266, connecting channels 267, optional
- 26 side channels 268, primary access ports 269 and secondary
- 27 optional access ports 2610 . Shallow channels 2611
- 28 provide entry slots for the conductive tracks 252, 253.
- 29 Typical relative depths of these formed features is
- 30 illustrated in typical section Figure 31.

- 32 Figure 27 shows a further preparative step in
- 33 manufacturing the formed patterned layer whereby a

knifing or blanking process is used to cut apertures or 1 slots in the film. Apertures 2712 provide the access entry slots for the conductive tracks 252, 253. Aperture 3 2713 ensures that the code mark 254 is not obscured by 4 any translucency or opaqueness in the film 265. 5 6 Figure 28 shows layer 251 and layer 265 assembled 7 together. This will be effected by either a heat sealing 8 or an adhesive process or both, to ensure that the two . 9 layers achieve a tight seal around the profile of the 10 various patterned recesses 266, 267, 2611 etc. in Layer 11 265. Heat sealing can be achieved by the contact surface 12 material of Layer 265 comprising a thin layer of low-13 melting point polymer such as poly-ethylene; 14 alternatively adhesive bonding can comprise the use of 15 commercial cyano-acrylate or, in the case of sealing 16 zones 2814, a commercial silicone rubber compound may be 17 18 used. 19 Figure 29 shows an exoskeleton component 2915 whose 20 purpose is to protect layer 265 as well as providing 21 rigid access ports 2916, 2917 for loading and unloading 22 the tape. Apertures 2918 protect the cavities 266 and an 23 aperture 2919 protects the channel 267. 24 25 The exoskeleton material is preferably a rigid polymer 26 such as polycarbonate, ABS, polyester, polystyrene, 27 polyethylene, polymethyl methacrylate, polypropylene or 28 other co-polymers of these materials. This exoskeleton 29

will be typically 1.0mm thick but other thicknesses in

the range 0.5mm to 3mm may be used.

31 32

WO 03/046542

- 1 Figure 30 shows the rigid exoskeleton 2915 affixed to the
- 2 layer 251 plus layer 265 assembly. This may be by
- 3 adhesive bonding or by incorporating protrusions in the
- 4 exoskeleton 2915 which will snap fit into corresponding
- 5 apertures in the supporting layer 251. Where the Layer
- 6 265 adjoins an access port on the exoskeleton 2915, for
- 7 example, at cavity locations 3021, an adhesive layer,
- 8 preferably a commercial silicone rubber compound, will
- 9 ensure intimate local contact between Layer 265 and
- 10 exoskeleton 2915.

11

- 12 Figure 31 shows a section 3100 through the assembly 3101
- 13 along the line "D" to "D". Depths are exaggerated in this
- 14 figure for clarity, but a typical overall height of the
- 15 exoskeleton is 1mm. This cross section shows that
- 16 cavities 266 are raised to the height of the exoskeleton,
- 17 cavities 269 are raised to a lesser extent (typically
- 18 0.5mm) and the channel 267 has a low profile (typically
- 19 50 to 200um deep). A conductive strip 253 (typically 20
- 20 to 50um thick) is shown entering a cavity 256. Sealing
- 21 plugs 3124 are shown at the access port locations. These
- 22 sealing plugs will comprise compliant polymer, preferably
- 23 an elastomer such as polyurethane or silicone rubber.
- 24 These plugs will incorporate a feature allowing removal
- 25 and replacement by a simple hand tool or, for continuous
- 26 unattended operation, allow automated removal and
- 27 replacement. Note also feature 3123 which is a tapered
- 28 section of cavity forming a smooth transition between the
- 29 cavity 266 and the channel 267.

- 31 Figure 32 shows a method of loading liquid electrolyte
- 32 (for example 2mM Tris, 2mM Acetate, 0.5mM EDTA) by
- 33 accessing a probe 3225 into an end cavity. Locations 3226

1 may be vented and sealed (plugs 3124) as part of the filling process. Note that the micro-scale of the penetration points will allow surface tension to prevent unwarranted leakage while the sealing caps are applied. 5 Figure 33 shows a method of pre-loading a column of gel 6 3328 at the point of manufacture using a loading probe 7 3327. The gel is loaded as a pre-determined dispensed 8 volume from the elution cavity end of the test segment. 9 The gel is preloaded with a fluorescing marker dye. 10 11 The test segment has now been pre-loaded ready for use, 12 and will be shipped in this condition to the point of 13 use. The only "wet chemistry" at the point of use is to 14 load the test sample for analysis. 15 16 Figure 34 shows a loading probe 3429 penetrating through 17 the top loading port of the exoskeleton at the point of 18 use. The corresponding cap 3124 may be discarded or 19 replaced depending on whether the tape is required to be 20 archived after use. The test sample 3430 will be prepared 21 in a solution which is denser than the surrounding 22 electrolyte.in the tape cavity, for example, a solution 23 of sucrose will ensure that the test sample will flow 24 under gravity into the tapered channel and gather right 25 26 at the top of the gel column. 27 The exoskeleton incorporates access ports which can be 28 oriented longitudinally (e.g. port no.3431) or 29 perpendicularly (e.g. port no. 3432). Optionally port 30 3432 can be used to vent any unwanted build up of gas in 31 the lower cavity. 32

PCT/GB02/05339

These fabrication methods can create features which 2 provide a wide range of processing options at the point 3 of use. 4 5 With reference to Figure 35, the automated processing has the steps of transporting the tape and selecting an area 7. for processing 351; piercing the apparatus with a probe or probing the apparatus 352, and performing microfluidic 8 9 processing 353 at the selected area, then repeating 354 10 the above steps until processing of the reel of tape is 11 complete. 12 During these steps the fabricated apparatus with its 13 optional preloaded processing materials may be deformed 14 15 in order to cause dynamic processing. The apparatus may be deformed by bending, flexing, folding, twisting, 16 conforming to a rigid surface, mechanical deformation, 17 18 deformation by applying a sound pressure, deformation by 19 applying a liquid pressure, and deformation by applying a gas pressure. Optionally the deformation can result in 20 21 the bringing of a part of the apparatus back into contact with another part of itself or with another apparatus. 22 23 The deformation may move part of the apparatus into a 24 position for processing, including being in contact with a processing tool. The deformation of the apparatus 25 results in dynamic processing that includes pumping, 26 27 filling, pouring, pressurising, mixing, dispensing, aspirating, separating, combining, heating and cooling. 28 29 Apparatuses that include impermeable membranes facilitate

³⁰

³¹ further novel processing methods that involve the

³² impermeable membrane. The membrane may be pierced by one

³³ or more probes. These probes may be pipettes.

49

Conducting probes that have pierced the membrane may 1 provide an electrical potential, and used for passing an 2 electric current through the conducting probe into a 3 conducting medium. 4 5 Optionally a grid of probes are mounted on a discrete 6 carrier or a continuous carrier that can be indexed or 7 replaced, such that another set of probes can be used 8 after the first set has worn out. 9 10 The grid of probes may be configured such that each probe 11 is separately addressable and each probe may have a 12 separate voltage applied in order to progressively move 13 the processing material through processing elements, such 14 as indented troughs and permeation layers in the 15 apparatus, after the grid of probes has penetrated or 16 contacted a corresponding grid of impermeable membranes. 17 This arrangement can be used to move process materials 18 through permeation layers for molecular separation. 19 controlled and progressive switching of voltages on the 20 grid of probes can be used to split processing material 21 into more than one separate processing path through more 22 than one separate processing elements. These split 23 process materials may be further combined or different 24 process materials may be combined at the junctions of 25 paths through the apparatus. In this way, the grid of 26 electrical probes can be configured to apply voltages 27 that cause a multi-dimensional separation of molecules, 28 e.g. polypeptide or protein molecules. 29 30 If the probes are pipettes, processing materials may be 31 introduced into the apparatus through the impermeable 32

membranes that have been penetrated or processing

50

1 materials removed from within the apparatus. An array of 2 pipettes compatible with 96, 192, 384, 1536 or 3456 well 3 assay plates can be matched to an array of commensurately 4 spaced impermeable membranes for penetration by the array of pipettes. Probes that penetrate or touch the surface 5 6 of a membrane can cause processing to be performed, such as pumping, filling, pouring, pressurising, mixing, 7 dispensing, aspirating, separating, combining, heating, 8 cooling, movement by electrokinesis, movement by 9 10 electrokinesis, movement by the molecular entrapment 11 method of molecular tweezers, acoustic tweezers and bio-12 molecular motor principles. 13 14 An apparatus in the form of a tape may be transported 15 through processing equipment and handling equipment by 16 friction of, for example, rollers in contact with the 17 apparatus or by pinions inserted into indents or 18 perforations in the apparatus in a similar manner to the handling of photographic or cine film. Alternative 19 20 methods of moving the tape include sliding drawers and 21 walking beams. Moving the apparatus with electromagnetic 22 fields and induction within the apparatus or moving using air or fluid pressure applied to the apparatus are also 23 24 possible. 26 The position of the apparatus in response to movement is detected by measurement of indexing patterns. After movement dynamic processing can be performed and then

25

27

28

29 further repeated movement and dynamic processing steps

30 can be performed in a continuous fashion as the

continuous tape is indexed through the processing 31

32 equipment.

51

In conclusion, we present the advantages of the present 2 invention. 3 A significant and long-established traditional art for 4 some of the kinds of bio-molecular separation described 5 herein is commonly referred to as "slab gel 6 electrophoresis". The demands in material usage, process 7 time, operator time and workspace for this process are 8 recognised by those with even minor experience of this 9 art. The procedure commonly employs manual preparation of 10 gels involving mixing, heating and casting steps. 11 Although the method can now employ pre-cast gels to 12 provide some degree of improvement, the overall process 13 remains manually intensive and inefficient. 14 15 In contrast, the present invention offers significant 16 advantages, by miniaturising all the elements of this 17 traditional process and eliminating many of the material 18 preparation and manual processing tasks. 19 20 While the traditional processes remain in common use, new 21 art is emerging which includes miniaturised bio-analysis 22 systems employing chip-scale technology, micro-fluidics, 23 24 and semiconductor fabrication techniques. 25 The present invention provides advantages over both 26 traditional and emerging techniques. 27 28

The present invention provides very significant savings in materials, time and workspace over traditional gel electrophoresis methods.

52

1 The present invention provides an adaptable platform for a very wide range of bio-analysis processes (not just gel 2 3 electrophoresis) and employs geometric patterning, 4 tooling methods and fabrication methods which are much 5 less complex than other emerging micro-fluidic or chip 6 scale techniques. This allows rapid and cost effective production of multiple versions of tape to match the 7 range of applications anticipated. 8 9 10 The present invention allows bio-sample processing in a 11 range from one single simple test up to highly parallel and multiple complex tests in an uninterrupted continuous 12 13 serial or parallel mode. The former is attractive to 14 small research laboratories, many quality control 15 laboratories, and point of care clinics. The latter is 16 attractive to high throughput processing laboratories. A 17 combination of these processing methods is attractive to 18 public health hospitals and clinics whose demand can fluctuate significantly. This range of capability is 19 20 provided in one single effective and efficient platform 21 regardless of usage patterns. 22 23 The present invention configures processing elements on a 24 highly flexible substrate and enables a versatile range 25 of substrate indexing patterns and transport methods to 26 be utilised as described.

27

28 Additionally, these transport methods provide the 29 advantage of allowing the use of non complex, compact, low cost optical scanning means by the embodiment of a 30 fixed position transverse optical line-scanning system 31 32 whose focal plane is along a line across the width of the

substrate. The scanning function is provided by the (already provided) indexing motion of the substrate. 2 3 This highly flexible substrate also enables the other 4 . described features and advantages which result from 5 bending, folding, twisting, flexing and deforming its 6 geometry. 7 8 The substrate flexibility also allows it to be penetrable 9 by probes for the purposes of processing material 10 delivery or removal, electrical connection and process 11 tooling introduction. 12 13 Additionally this flexible substrate is suitable for 14 affixing a secondary impermeable membrane which is also 15 readily penetrable by suitable probes for the purposes of 16 processing material delivery or removal, electrical 17 connection, process tooling introduction. 18 19 The penetrable substrate and penetrable membrane provides 20 a processing system which can be fully enclosed and which 21 can provide some processing materials pre-loaded within 22 the system. This minimises preparation, avoids spillage, 23 avoids the need for cleaning or flushing procedures and 24 simplifies waste disposal. 25 26 Alternatively, a stereo-lithographic method is described 27 to fabricate the substrate and the impermeable membrane 28 in one homogenous material with the advantage that this 29 simplifies the means of construction. 30 31 Alternatively, a selective laser sintering method is 32 described to fabricate the substrate and the impermeable

54

1 membrane in a single fabrication process again with the 2 advantage that this simplifies the means of construction. 3 4 The present invention employs one generic material type 5 in its construction (polymer) and avoids the significant 6 use of glass, silicon or metal in its fabrication. This 7 simplifies the waste disposal methods after bioprocessing is complete. 9 10 The fabrication techniques described provide a wide range 11 of substrate geometries. These features can be created 12 by rapid and simple methods of tooling, thus avoiding the 13 long lead times and complexity of other miniaturised bio-14 processing systems. 15 The present invention has the advantage that these rapid 16 17 and simple fabrication techniques correspond to 18 processing elements whose dimensional accuracy is less 19 critical than those of chip scale devices. A 20 corresponding advantage is that this is achieved without 21 sacrifice to the overall device size because the device 22 size, in the current state of the art, is determined by 23 the practicalities of the size of the sample loading 24 wells and not by the processing element sizes. 25 26 The present invention can be enhanced by pre-printing 27 processing materials onto a planar plastic film substrate 28 using commercially available printing methods and then by 29 deforming that substrate in a non planar fashion such 30 that the pre-printed material deforms into a desired

shape or position and such that, for example, a pre-

printed permeation layer can subsequently (after forming

of the substrate) be hydrated into its gelatinous phase.

31

32

55

Related printing and forming methods are already 1 established in the field of foil manufacture for "in-2 mould decoration" of plastic injection moulded products . 3 (used for cosmetic effect mainly on consumer electronic 4 products), but the present invention provides the scope for adapting these methods into this unconnected field of 7 application. 8 -The flexible substrate is readily available in a range of 9 polymer materials whose optical properties can be matched 10 to available commercial optical systems for detection or 11 imaging of the bio-processing events during system 12 13 operation. 1.4 Further modifications and improvements may be added 15

without departing from the scope of the invention herein 16 described. 17

1	CLAI	<u>MS</u>
2		
3	1.	An apparatus for microfluidic processing
4		applications, characterised in that the apparatus
5		comprises at least one rigid member and at least one
6		non-rigid member.
7		
8	2.	The apparatus of Claim 1, wherein said apparatus
9.		comprises at least two non-rigid members.
10		
11	3.	The apparatus of any previous Claim, wherein said
12		non-rigid member is a tape.
13		
14	4	The apparatus of any previous Claim, wherein there
15		are a plurality of rigid members each associated
16		with one of a plurality of areas each individually
17		selectable on said apparatus.
18		
19	5.	The apparatus of any previous Claim, wherein said
20		rigid member comprises access ports.
21		
22	6.	A method of fabrication of an apparatus for
23		microfluidic processing applications, comprising the
24	•	step of attaching at least one rigid member to at
25		least one non-rigid member.
26		
21	7.	The method of Claim 6, wherein said method of
28		fabrication further comprises the step of forming at
29		least one non-rigid member.
30		
31	8.	The method of Claim 7, wherein said step of forming
32		said at least one non-rigid member comprises the

1		step of high pressure plastic film forming with said
2		high pressure acting on said apparatus.
3 ·		
4	9.	The method of Claim 8, wherein said step of high
5		pressure plastic film forming is arranged with the
6		high pressure acting on a compliant membrane, which
7		is part of a forming tool in contact with said
8		apparatus.
9		
LO	10.	The method of any of Claims 6 to 9, wherein said
11	•	rigid member has a maximum dimension perpendicular
L2		to its plane greater than the maximum dimension
13		perpendicular to the plane of said at least one non-
14		rigid member.
15		
16	11.	A method of mounting an apparatus for microfludic
17		processing applications, comprising the step of
18		attaching of said apparatus to a non-rigid carrier
19		that is in the form of a tape.
20	,	
21	12.	The method of Claim 11, wherein said carrier has a
22		maximum dimension perpendicular to its plane greater
23		than the maximum dimension perpendicular to the
24		plane of said apparatus.
25		an least and
26	13.	
27		apparatus is attached to said non-rigid carrier by
28		snap fitting into apertures in said carrier.
29		
30	14.	
31		apparatus is attached to said non-rigid carrier by
32		ultrasonic welding, heat sealing, adhesive, chemical
33		or molecular bonding.

1

2 15. The apparatus or method of any previous Claim,
3 wherein said apparatus is a tape.

4

5 16. The apparatus or method of any previous Claim,6 wherein said apparatus comprises a polymer film.

7

8 17. The apparatus or method of any previous Claim,
9 wherein said apparatus comprises processing elements
10 for microfluidic processing.

11

12 18. The apparatus or method of Claim 17, wherein said 13 processing elements comprise indents of said 14 apparatus.

15

16 19. The apparatus or method of Claim 17, wherein said 17 processing elements comprise cavities embedded 18 within said apparatus.

19

20 20. The apparatus or method of any of Claims 17 to 19,
21 wherein said processing elements comprise processing
22 materials in intimate contact with the surface of
23 said apparatus.

24

25 21. The apparatus or method of any of Claims 17 to 20,
26 wherein said processing elements comprise processing
27 materials embedded within said apparatus.

28

29 22. The apparatus or method of any of Claims 17 to 21,
30 wherein said processing elements comprise opaque,
31 translucent or coloured materials for providing
32 optical isolation between elements or providing
33 indexing marks.

1		
2	23.	The apparatus or method of any previous Claim,
3		wherein a member of said apparatus is transparent.
4		
5	24.	The apparatus or method of any previous Claim,
6		wherein said apparatus is penetrable.
7		
8	25.	The apparatus or method of any previous Claim,
9		wherein said apparatus is self sealing during
10		penetration.
11		
12	26.	The apparatus or method of any previous Claim,
13		wherein said apparatus is self sealing after
14		penetration.
15		
16	27.	
17		wherein said apparatus further comprises an
18		impermeable membrane.
19		
20	28.	
21		impermeable membrane is affixed in intimate contact
22		with parts of the surface of said apparatus.
23		
24	29.	
25		wherein said impermeable membrane is arranged as
26		discrete areas of impermeable membrane in intimate
27		contact with parts of the surface of said apparatus.
28		
29	30	
30		wherein said impermeable membrane is penetrable.
31		

1	31.	The apparatus or method of any of Claims 27 to 30,
2		wherein said impermeable membrane is self sealing
3	•	during penetration.
4		
5 ⁻	32.	The apparatus or method of any of Claims 27 to 31,
6		wherein said impermeable membrane is self sealing
7		after penetration.
8		
9	33.	The apparatus or method of any of Claims 27 to 32,
10	•	wherein said impermeable membrane is re-sealed by a
11	•	capping element after penetration.
12		
13	34.	The apparatus or method of any of Claims 27 to 33,
14		wherein said impermeable membrane is supported by
15		support structures.
16		
17	35.	The apparatus or method of any previous Claim,
18		wherein said apparatus further comprises a non-rigid
19		member.
20		
21	36.	The apparatus or method of Claim 35, wherein said
22		non-rigid member is affixed in intimate contact with
23		parts of the surface of said apparatus.
24		
25	37.	The apparatus or method of any of Claims 35 to 36,
26		wherein said non-rigid member is arranged as
27		discrete areas of non-rigid member in intimate
28		contact with parts of the surface of said apparatus.
29		
30	38.	The apparatus or method of any of Claims 35 to 37,
31		wherein said non-rigid member is penetrable.
32		

The apparatus or method of any of Claims 35 to 38, 1 wherein said non-rigid member is self sealing during 2 3 penetration. 4 The apparatus or method of any of Claims 35 to 39, 5 wherein said non-rigid member is self sealing after 6 7 penetration. 8 The apparatus or method of any of Claims 35 to 40, 9 wherein said non-rigid member is re-sealed by a . 10 capping element after penetration. 11 12 The apparatus or method of any of Claims 35 to 41, 13 wherein said non-rigid member is supported by 14 support structures. 15 16 The method of any of Claims 6 to 42, wherein said 17 step of fabricating said apparatus further comprises 18 the step of preloading processing materials onto 19 said apparatus before fabrication. 20 21 The method of any of Claims 6 to 42, wherein said 22 44. step of fabricating said apparatus further comprises 23 the step of loading processing materials onto said 24 25 apparatus during fabrication. 26 The method of Claim 44, wherein said step of 27 45. preloading or loading during fabrication of said 28 apparatus comprises the step of depositing 29 processing materials onto a carrier. 30 31 The method of Claim 44, wherein said step of 46. 32 preloading or loading during fabrication of said 33

62 .

1		apparatus comprises the step of depositing
2		processing material onto a non-rigid member.
· 3	•	
4	47.	The method of any of Claims 44 to 46, wherein said
5		deposited processing material comprises permeation
. 6		layers.
7		
8	48.	The method of any of Claims 44 to 46, wherein said
9		deposited processing material comprises conductive
10		material.
11	•	
12	49.	The method of any of Claims 44 to 46, wherein said
13		deposited processing material comprises chemically
14		or biologically active material.
15		
16	50.	The method of any of Claims 44 to 46, wherein said
17		deposited processing material comprises marks for
18		identity purposes.
19		
20	51.	The method of any of Claims 44 to 46, wherein said
21		deposited processing material comprises magnetisable
22		material.
23		
24	52.	The method of any of Claims 44 to 51, wherein said
25		step of depositing comprises printing.
26		•
27	53.	The method of any of Claims 44 to 52, wherein said
28		step of preloading or loading during fabrication of
29		said apparatus is performed by a preloading process
30		selected from a list of processes comprising:
31		deposition and etching, injection into a cavity and
32		injection into an indentation.

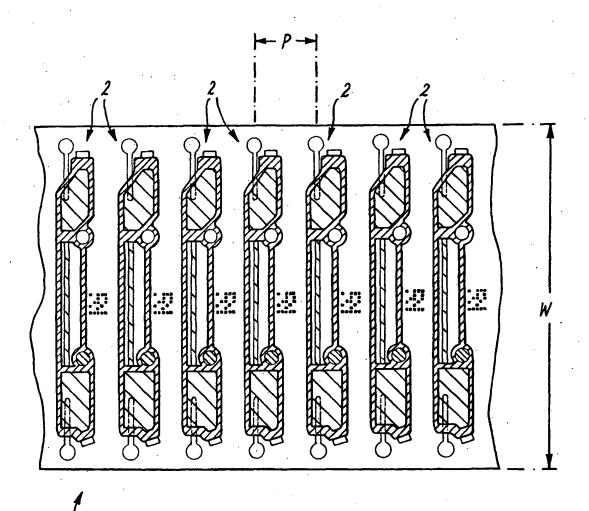
1	54.	The method of any of Claims 6 to 53, wherein said
2		method of fabrication of said apparatus further
3		comprises the steps of depositing patterns on an
4		apparatus and forming said apparatus, wherein the
5		localised formation of said processing elements is
6		responsive to the distortion by said forming of said
7		deposited pattern.
8		
9	55.	The method of any of Claims 6 to 54, wherein said
10		method of fabrication of said apparatus further
11		comprises the steps of depositing patterns on an
12		apparatus and localised formation of said apparatus
13		responsive to the topography of said deposited
14		pattern, resulting in the formation of said
15		processing elements.
16		
17	56.	The method of any of Claims 54 to 55, wherein said
18		step of depositing comprises pre-printing.
19		6
20	57.	
21		transport microfluidic processing applications,
22		comprising the step of including an impermeable
23		membrane as part of said apparatus.
24		in the second stop of
25	58.	
26		including an impermeable membrane comprises the step
27		of affixing an impermeable membrane to a substrate.
28		
29		
30		step of including an impermeable membrane comprises
		the step of depositing, overlaying or affixing
32		discrete areas of impermeable membrane in intimate contact with parts of the surface of said apparatus.
33		contact with parts of the sufface of safe apparatus

		·
1		
2	60.	The method of any of Claims 57 to 59, wherein said
3		step of including an impermeable membrane comprises
4		the step of depositing, overlaying or affixing an
5		impermeable membrane on said apparatus and
6		selectively removing areas of said impermeable
7		membrane.
8.		
9	61.	The method of Claim 60, wherein said selected
10		removal of said impermeable membrane is performed by
11		the step of cropping.
12		
13	62.	A method of fabrication of an apparatus for mass
14		transport microfluidic processing applications,
15		comprising the step of including a non-rigid member
16		as part of said apparatus.
17		
18	63.	The method of Claim 62, wherein said step of
19		including a non-rigid member comprises the step of
20		affixing a non-rigid member to a substrate.
21		
22	64.	The method of any of Claims 62 to 63, wherein said
23		step of including a non-rigid member comprises the
24		step of depositing, overlaying or affixing discrete
25		areas of non-rigid member in intimate contact with
26		parts of the surface of said apparatus.
27		
28	65.	The method of any of Claims 62 to 64, wherein said
29		step of including a non-rigid member comprises the
30		step of depositing, overlaying or affixing a non-

step of including a non-rigid member comprises the step of depositing, overlaying or affixing a non-rigid member on said apparatus and selectively removing areas of said non-rigid member.

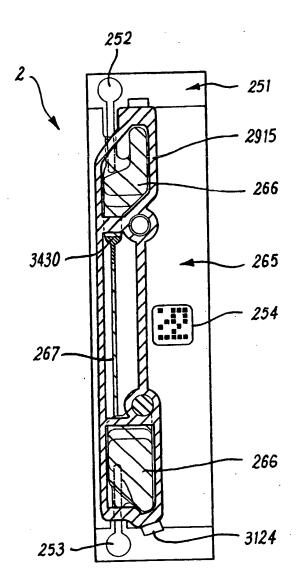
1	66.	The method of Claim 65, wherein said selected
2		removal of said non-rigid member is performed by the
3		step of cropping.
4		
5	67.	• •
6		applications, characterised in that the apparatus is
7		a non-rigid tape comprising a plurality of indexing
8		patterns.
9		
0	68.	The apparatus of Claim 67, wherein said indexing
11		patterns are rigid members.
12		
13	69.	The apparatus of any of Claims 67 to 68, wherein
14		said indexing patterns are repeated.
15		
16	70.	The apparatus of any of Claims 67 to 69, wherein
17		said indexing patterns are arranged to facilitate
18		detection of position.
19		
20	71.	The apparatus of any of Claims 67 to 70, wherein
21		said indexing patterns are arranged to facilitate
22		detection of position using optical detection.
23		the second secon
24	72.	
25		microfluidic applications comprising the step of
26		moving said apparatus by interaction of a moving
27		object with at least one rigid member attached to
28		said apparatus.
29	73.	

1/21

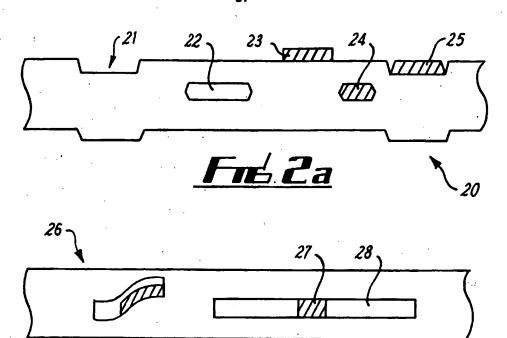


Fie la

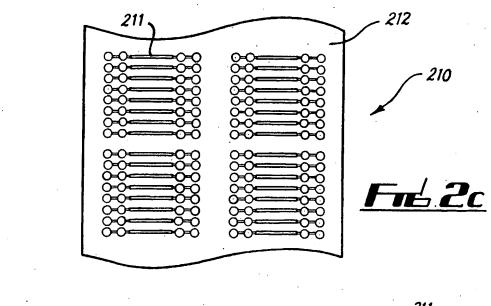


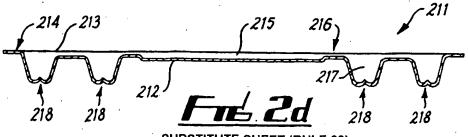


Fred 16

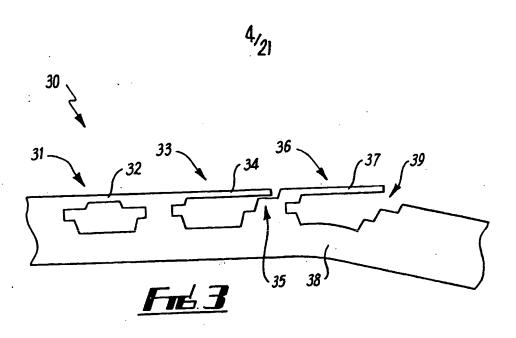


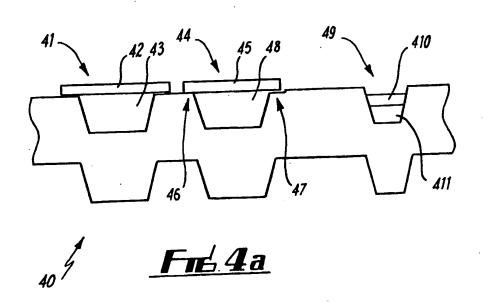
Fre 2b

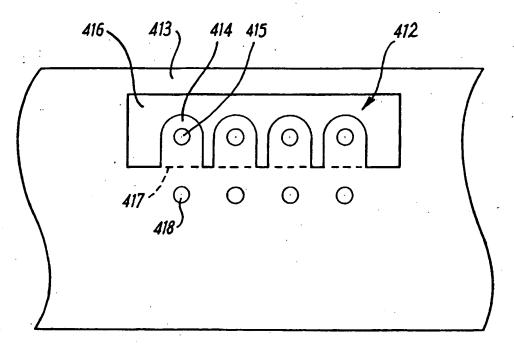




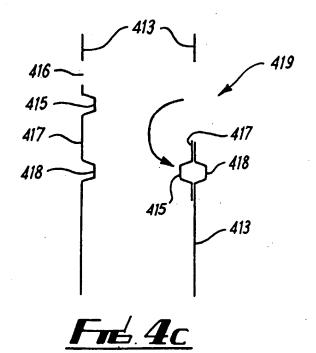
SUBSTITUTE SHEET (RULE 26)



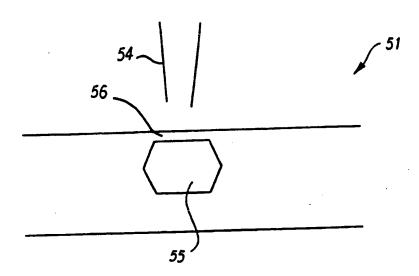


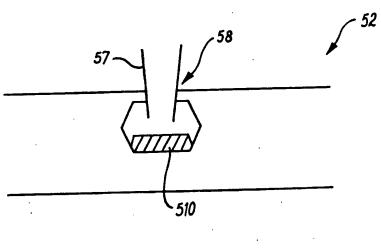


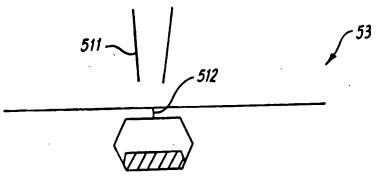
Fred 4b



SUBSTITUTE SHEET (RULE 26)

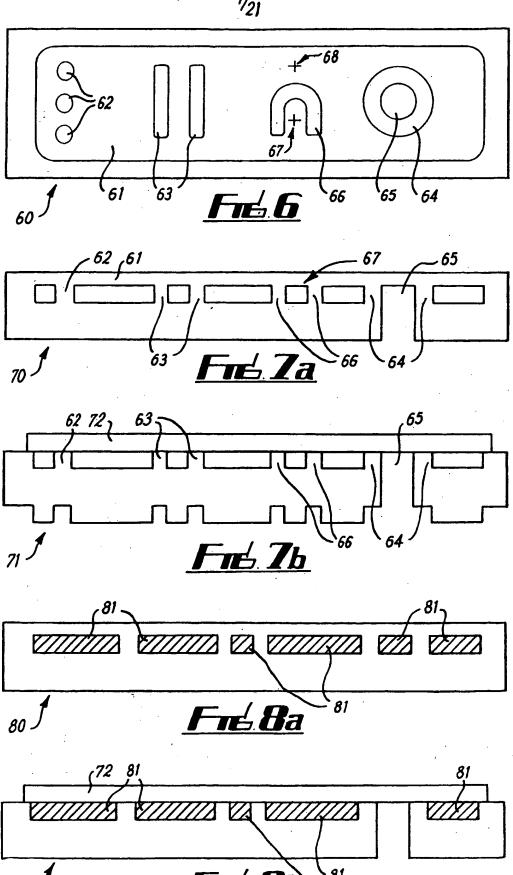


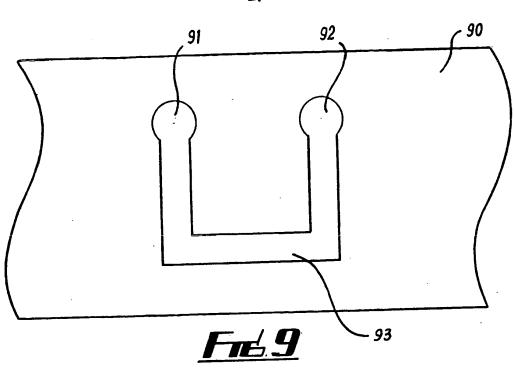


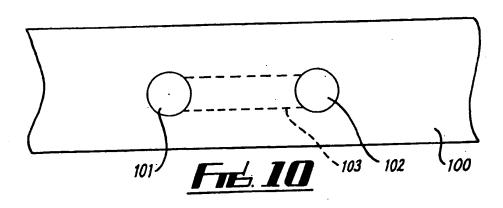


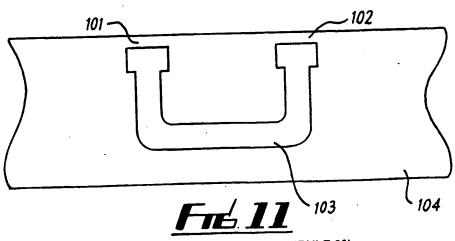
Fre 5

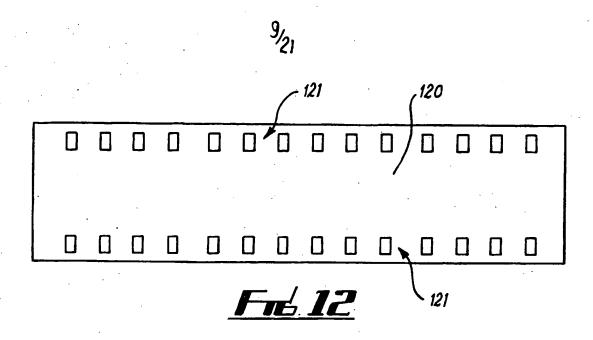
SUBSTITUTE SHEET (RULF 26)

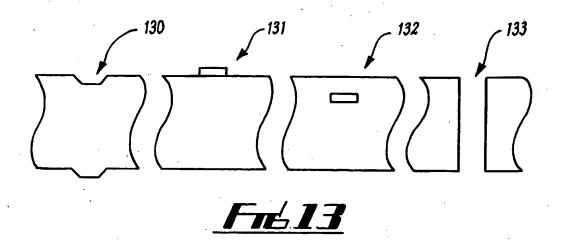




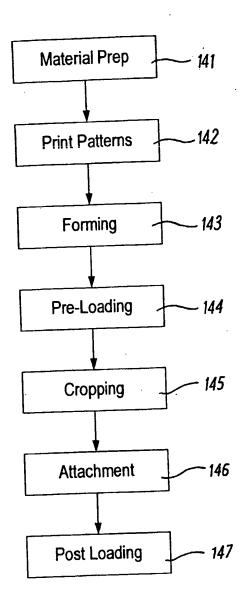




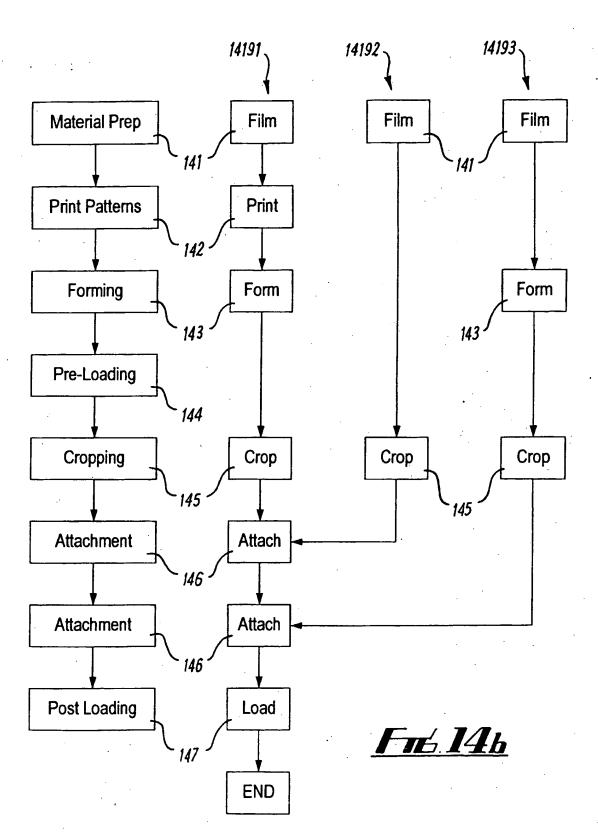




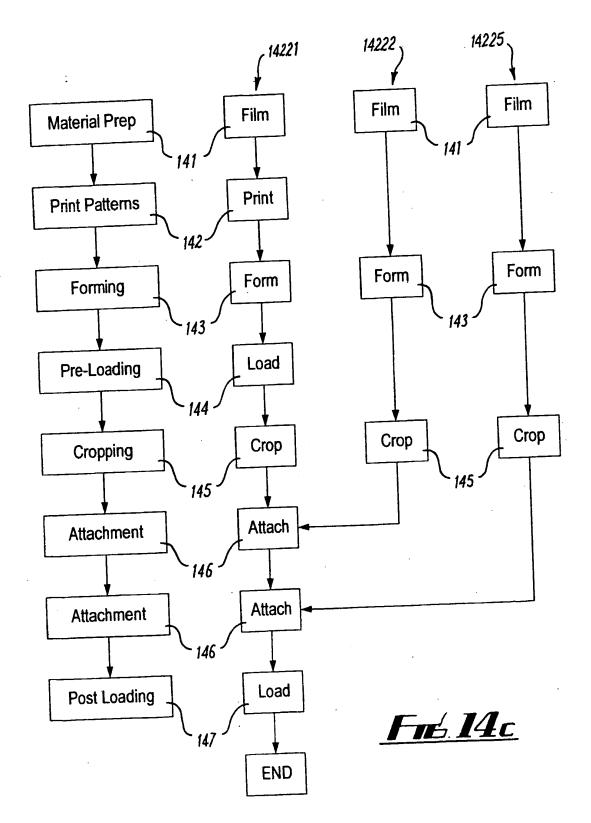
10/21



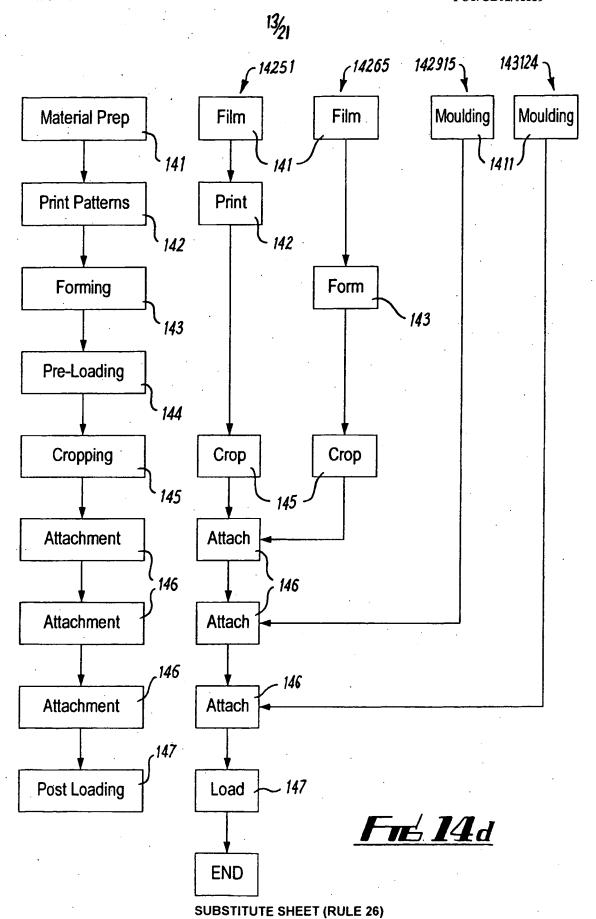
Fres 14a

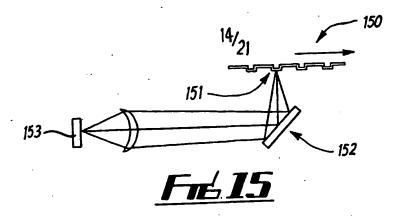


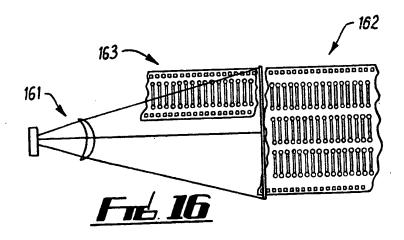
SUBSTITUTE SHEET (RULE 26)

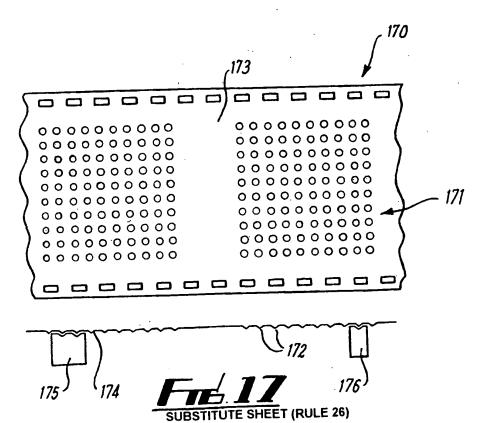


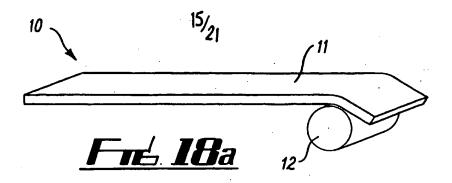
SUBSTITUTE SHEET (RULE 26)



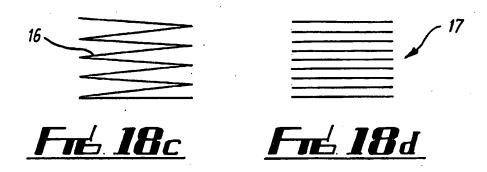


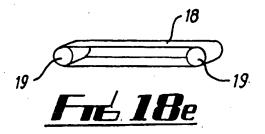


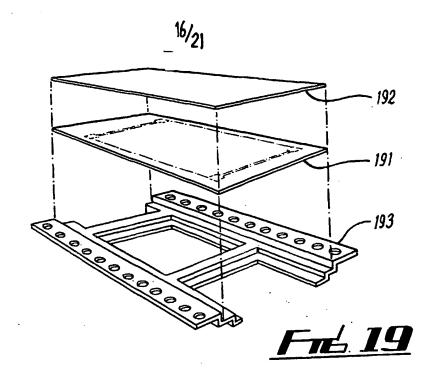


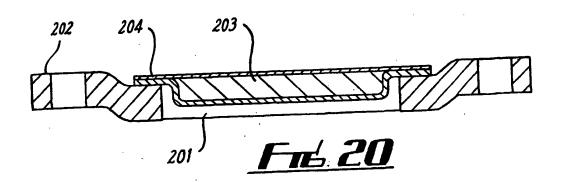


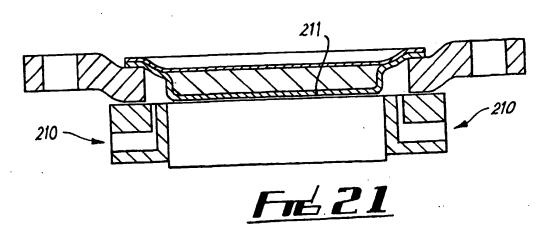




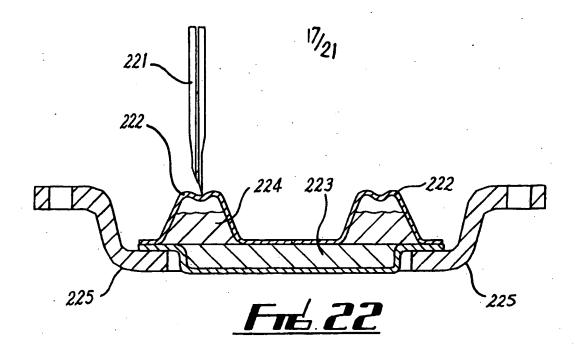


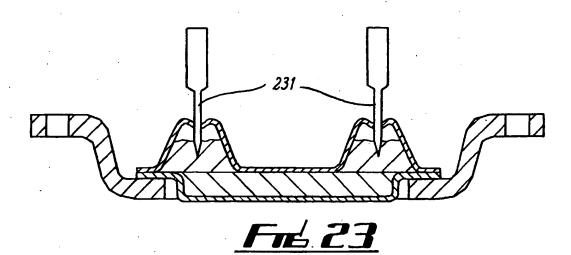


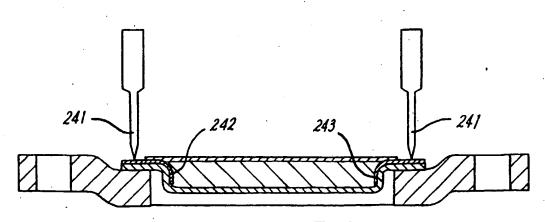


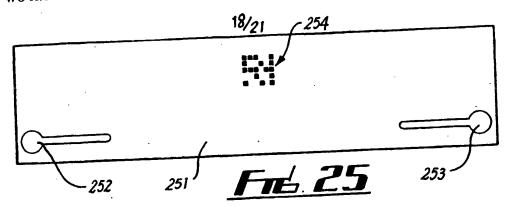


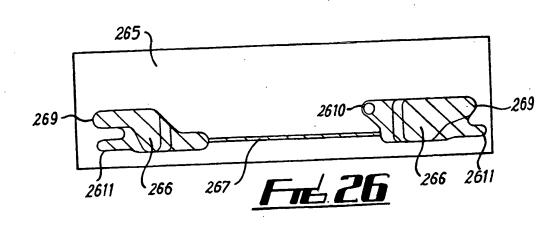
WO 03/046542 PCT/GB02/05339

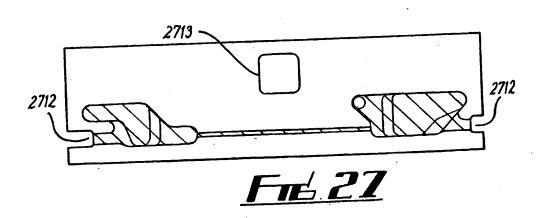


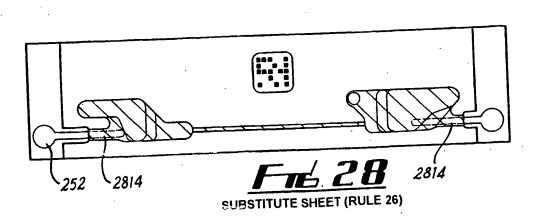


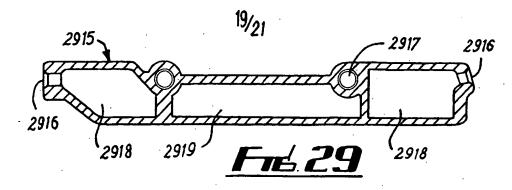


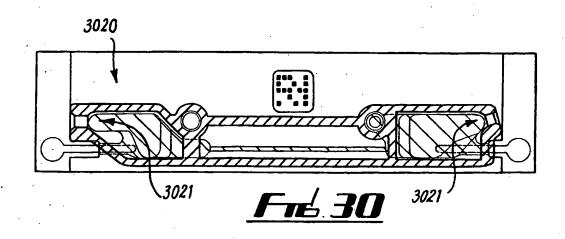


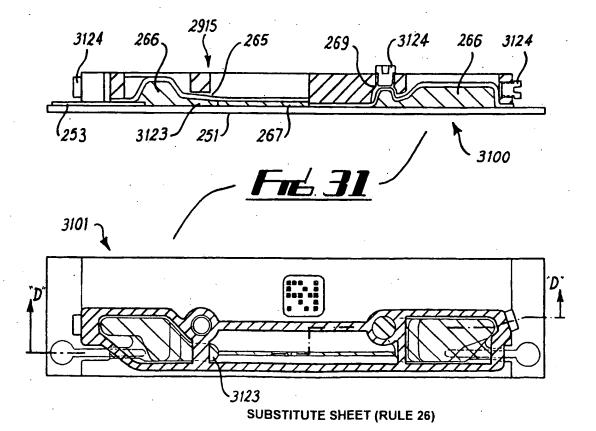


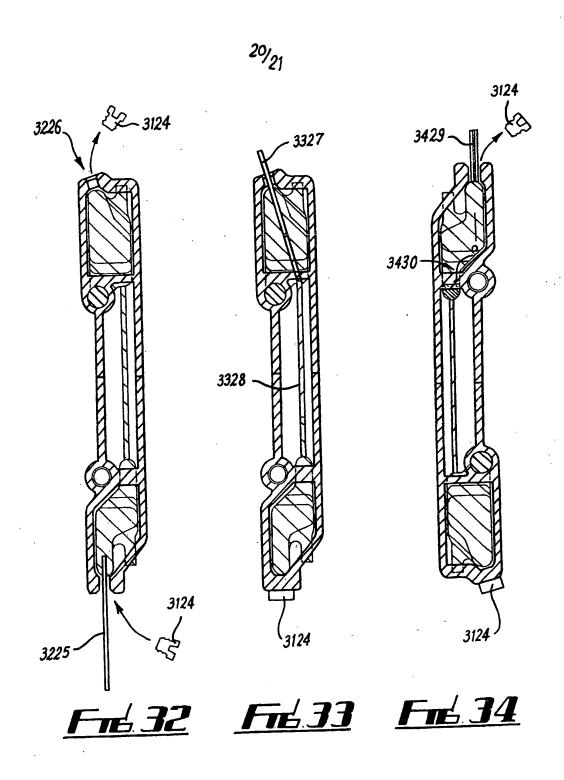




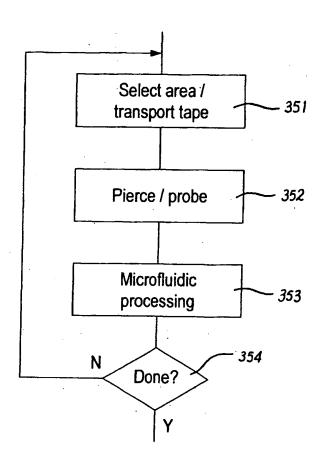








21/21



Fre 35

THIS PAGE BLANK (USPTO)

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:
☐ BLACK BORDERS
☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
☐ FADED TEXT OR DRAWING
☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
☐ SKEWED/SLANTED IMAGES
COLOR OR BLACK AND WHITE PHOTOGRAPHS
GRAY SCALE DOCUMENTS
☐ LINES OR MARKS ON ORIGINAL DOCUMENT
☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
□ other.

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.

THIS PAGE BLANK (USPTO)